

EVALUATION OF FLUOROSCOPICALLY GUIDED CAUDAL EPIDURAL INJECTIONS

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Objective: To evaluate accuracy of needle placement and flow patterns of fluoroscopically guided caudal epidural injections.

Design: A prospective observational study of patients with low back pain undergoing caudal epidural injections under fluoroscopy.

Background: Epidural administration of corticosteroids is one of the commonly used interventions in managing chronic low back pain. Sacral or caudal epidural placement of the needle is one of the commonly used means to access the lumbar epidural space for administration of various drugs.

Methods: A total of 100 consecutive patients underwent fluoroscopically guided caudal epidural injections. Needle insertion was performed blindly (without the use

of fluoroscopic guidance) based on palpable landmarks, palpation of subcutaneous airflow, subjective impression that the needle was in a satisfactory position, and ease of injection of contrast. These clinical criteria were compared with the position of the needle as seen under fluoroscopy and the spread of radiopaque contrast in the epidural space. The contrast flow patterns, ventral or dorsal epidural filling, nerve root filling, and correlation of filling to the side of pain were evaluated.

Results: Successful injection placement without fluoroscopic visualization was confirmed on subsequent fluoroscopic visualization in 77% of the patients. Various filling and flow patterns showed that with injection of 10 mL of contrast, filling was noted up

to S1 in 70% of the patients, followed by L5 nerve root filling in 12% of the patients. Ventral epidural filling was seen in 69% of the patients, in contrast to dorsal filling in 92% of the patients. Nerve root filling correlated with leg pain in only 43% of the patients. Intravenous placement of the needle was noted in 14% of the patients with positive flashback and aspiration in 50% of the patients.

Conclusions: Caudal epidural injections are ideally performed with fluoroscopic guidance as the gold standard for accurate needle placement. However, this does not assure either targeted delivery or accurate placement of the drug.

Key Words: Caudal epidural injection, fluoroscopy, chronic low back pain, filling patterns, blind technique

Access to the epidural space through sacral hiatus is one of the most commonly utilized techniques in managing chronic low back pain with epidural injection of local anesthetic or steroid, percutaneous adhesiolysis, or spinal endoscopic adhesiolysis. Caudal epidural injection technique enjoyed significant popularity over the years since the first reports of its use in low back pain (1-3) due to the ease of the technique and safety (4-7). Reports of the effectiveness of epidural corticosteroids have varied from 18% to 90% (8). However, evaluation of the effectiveness of caudal epidural steroid injections separate from transforaminal and interlaminar injections have shown that caudal epidural steroids overall are superior to interlaminar epidural injections and almost similar to transforaminal epidural in-

jections with added safety (5-18).

A common problem encountered with any epidural injection, is inaccurate needle placement, which also results in inaccurate placement of the injectate (19). Thus, several authors have recommended that all epidural injections be performed using fluoroscopic guidance. It is touted that this practice not only would improve the accuracy of needle placement, but would decrease the risk of a subarachnoid puncture, decrease intrathecal or intravascular injection, and facilitate accurate delivery of injectate, in turn improving the outcomes. Multiple authors have evaluated accurate placement of needle for caudal epidural injection with or without fluoroscopic guidance (4, 19-22). White et al (20) showed that incorrect needle placement occurred in approximately 25% of caudal epidural injections performed by an experienced anesthesiologist and orthopedic surgeon. Renfrew et al (21) showed that caudal epidural steroid injections performed by radiologists were incorrectly placed 38% of the time in experienced hands without

fluoroscopy. Maigne et al (22) reported that they were successful at placing a needle in the caudal epidural space on a first attempt 68% of the time, improving to 85.3% after two attempts. Manchikanti et al (4) demonstrated that inaccurate placement of the needle during caudal epidural procedures was evident in 20% of cases. Stitz and Sommer (19) concluded that caudal epidural injections are performed ideally with fluoroscopic guidance as the gold standard for accurate drug placement. They showed that successful injection placement on the first attempt occurred in 74.1% of the patients. However, the results improved when anatomic landmarks were identified easily and no air was palpable subcutaneously over the sacrum when injected through the needle. Thus, they concluded that the combination of these two signs predicted a successful injection in 91.3% of the patients in a study of 54 consecutive patients. Other issues related to caudal epidural injections are intravascular injection and epidural filling pattern with targeted delivery of medication. Manchikanti et al (4)

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described highly variable filling patterns with the majority of the patients showing either inadequate filling or suboptimal filling in spite of injection of as high as 15 mL of contrast. Thus, epidural filling patterns will have significant effect on the targeted delivery of medication, as well as subsequent outcomes.

This prospective observational study was undertaken to establish the accuracy of blind versus fluoroscopically guided caudal epidural injections, to evaluate intravascular placement, to evaluate epidural and nerve root filling patterns, and correlate the filling patterns with pain patterns.

METHODS

This prospective evaluation of caudal epidural injections under fluoroscopy was undertaken in an interventional pain management practice, a specialty referral center, in a private practice setting. The study protocol met the criteria of the Institutional Review Board. Inclusion criteria were consecutive patients undergoing caudal epidural injection. Exclusion criteria included pregnant or lactating women, patients with history of adverse reaction to local anesthetic, steroid, or contrast, patients unable to understand the informed consent or patients unable to be positioned in the prone position to perform the procedure.

The evaluation included demographic data of age, gender, weight, height, history of previous surgery, distribution of pain, and MRI or CT findings.

Procedure

A single technique by a single operator was utilized in all cases, which included sterile preparation, local anesthetic infiltration of the skin, and introduction of a #20 Tuohy needle in all cases with the patient in prone position. The sacral hiatus was identified by palpation of the two sacral cornua and the interposed hiatal depression. Local anesthetic infiltration was carried out with a #25 gauge ½" needle infiltrating 1% lidocaine, not to exceed 1 mL. The Tuohy needle was directed into the sacral hiatus at an approximately 45° angle with the bevel facing posteriorly. The needle was advanced, and directed to cannulate the sacral canal. When needle placement was believed to be correct, aspiration was performed to exclude venous or dural puncture. Following this, approximately 3 to 5 mL of air into the sacral canal was injected while palpating over the sacrum for subcutaneous flow.

Following this, 3 mL of Omnipaque 240 was injected once it was felt that the needle was in an appropriate position. Following this, the needle position and pattern of distribution of contrast were observed under fluoroscopy. If the needle was confirmed to be in the epidural space, additional Omnipaque, to bring the total to 10 mL, was injected into the epidural space. Based on the filling pattern, the bevel of the needle was rotated, if necessary, to the side of the pain. If the needle was incorrectly placed, repositioning was carried out, and additional contrast was added to ensure uniform volume of contrast in all cases, i.e., 10 mL.

The needle position and dispersion of contrast into the epidural space and nerve root filling was observed in posteroanterior and lateral views. Data was collected on the following aspects: number of attempts, C-arm time in seconds, positive flashback or aspiration, intravenous or intraarticular contrast filling, epidural filling in posteroanterior and lateral view, and finally, correlation of filling with pain. Data was also recorded with regards to pain during the injection, immediate pain

relief, and complications. Pain relief was graded as none (0%), poor (1% to 25%), fair (26% to 50%), good (51% to 74%), excellent (> 75%), and complete pain relief (100%). Evaluation of complications included bleeding, swelling, pain, fever, muscle spasms, soreness at injection site, numbness, weakness, dizziness, nausea or vomiting, voiding difficulty, and other complications as reported by the patients.

RESULTS

Patient Characteristics

Demographic characteristics are illustrated in Table 1 with age, gender, weight, height, and previous surgery. Patients were predominately female (62%) with a mean age of 51.5 ± 13.9 years, mean weight of 180 ± 49.6 lbs. and a mean height of 66 ± 4.1 inches. Thirty-five percent of the patients have had previous surgery.

Table 2 describes the pain distribution with 93% of the patients reporting bilateral low back pain compared to 62% of the patients reporting bilateral lower extremity pain.

Table 1. *Demographic characteristics*

Age in years	Range	28 – 94
	Mean \pm SD	51.5 \pm 13.9
Gender	Male	38% (38)
	Female	62% (62)
Weight in lbs.	Mean \pm SD	180 \pm 49.6
Height in inches	Mean \pm SD	66 \pm 4.1
History of previous surgery		35% (35)

Table 2. *Distribution characteristics of pain*

	Low Back Pain	Lower Extremity
Right	2% (2)	13% (13)
Left	5% (5)	25% (25)
Bilateral	93% (93)	62% (62)

Table 3. *Structural abnormalities as described by radiologist**

Disc degeneration	41%
Facet arthropathy	25%
Spinal stenosis	19%
Disc bulging	45%
Disc Protrusion	17%
Disc herniation	13%
Epidural fibrosis	34%
No abnormalities	15%

*Totals may not correlate, as some patients presented with more than one abnormality

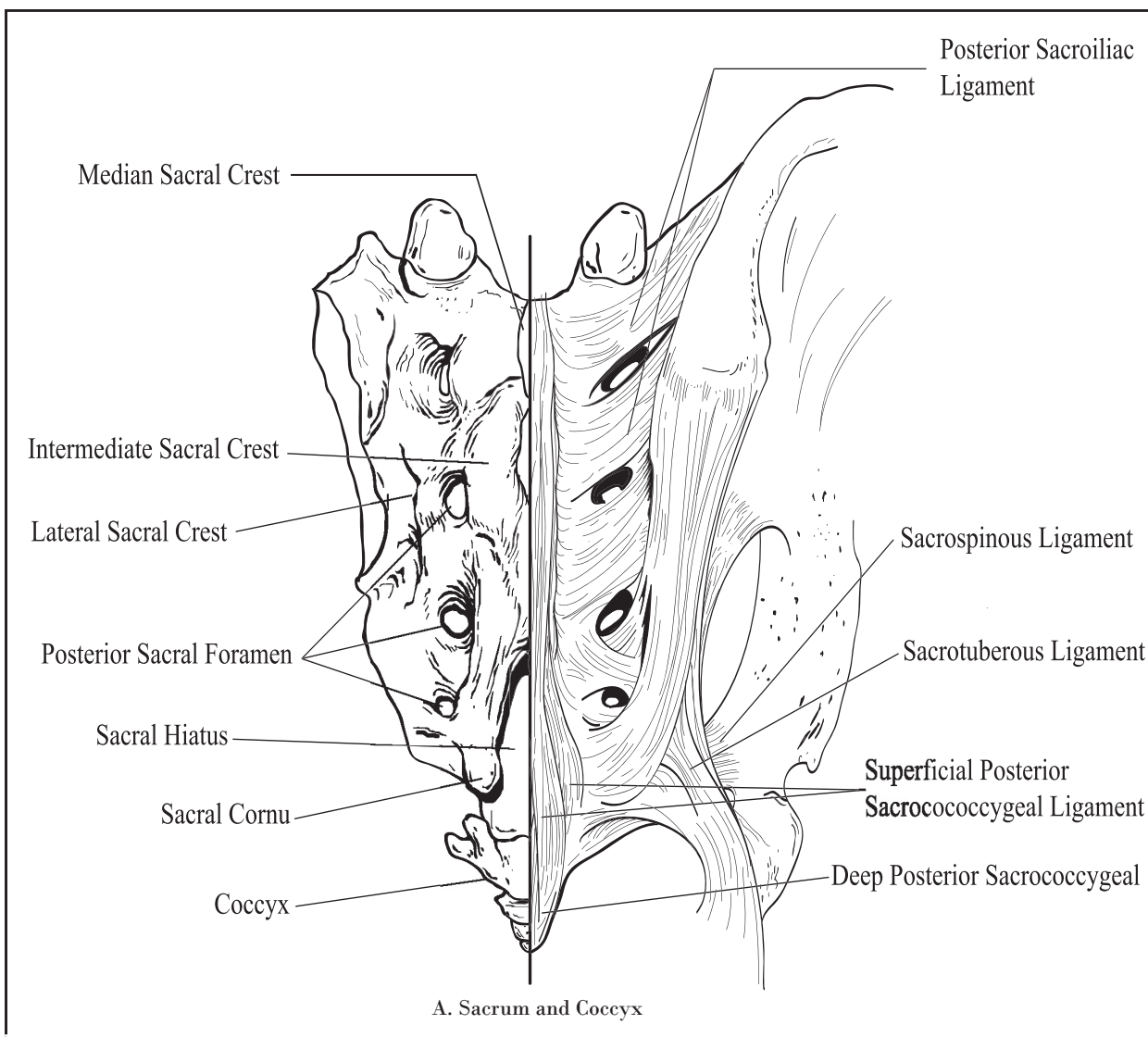


Fig. 1. Anatomy of sacrum and coccyx, and caudal needle placement

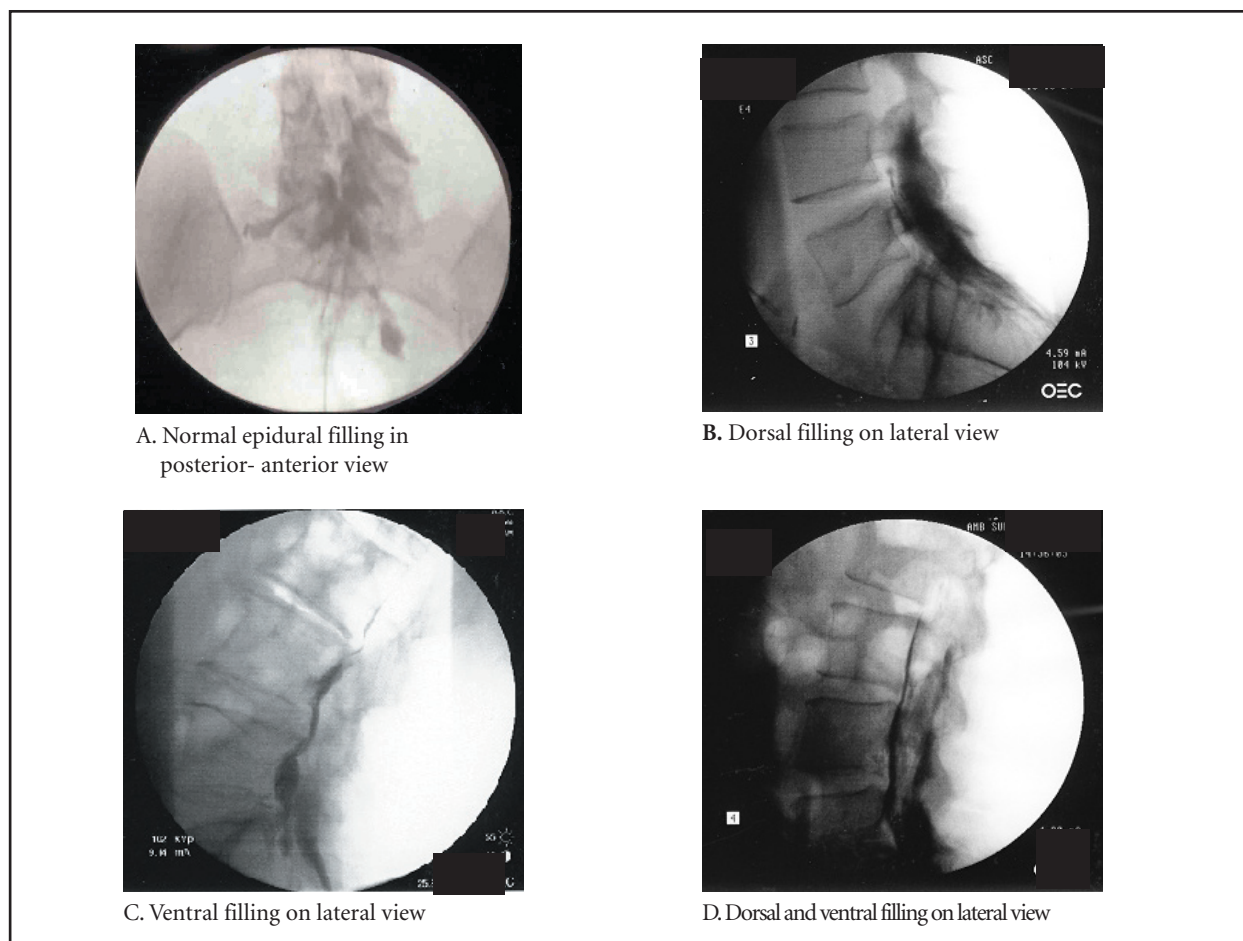


Fig. 2. Typical filling patterns

Table 4. Needle placement characteristics

	Number of attempts			Location of needle			
	1	2	≥ 3	Epidural	Intravascular	Extra-epidural	Total misplacement
Number of patients	77	15	8	77	14	9	23

Table 5. Contrast flow patterns of epidural space and nerve root filling

Filling Level(s)	Epidural Filling		Nerve Root Filling		
	Dorsal	Ventral	Right	Left	Bilateral
S1	23%	41%	16%	18%	36%
L5	48%	16%	4%	7%	1%
L4	12%	8%	2%	1%	0%
L3 or above	9%	4%	0%	0%	0%
None	8%	31%	30%		

Table 6. Correlation of lower extremity pain and nerve root filling

Filling	Right	Left	Bilateral	Total
Yes	9 (69%)	13 (52%)	21 (34%)	43%
No	4 (31%)	12 (48%)	41 (66%)	57%
Total	13	25	62	100

Table 7. Pain during the injection*

Back pain	43% (43)
Leg pain or radicular pain	22% (22)
No pain	43% (43)

* Some patients experienced both back and leg pain. Thus, totals may not correlate

Table 8. Complications*

No complications	76% (76)
Soreness at injection site	18% (18)
Increased pain	5% (5)
Muscle spasms	4% (4)
Swelling	4% (4)
Headache	3% (3)
Minor bleeding	2% (2)
Dizziness	1% (1)
Nausea/Vomiting	1% (1)
Fever	1% (1)
Numbness	1% (1)
Voiding difficulty	1% (1)
Vasovagal reaction	0% (0)
Motor weakness	0% (0)
Insomnia	0% (0)

* Some patients experienced more than one complication. Thus, totals may not correlate.

Structural abnormalities as identified by a radiologist, either on computerized tomography (CT) or magnetic resonance imaging (MRI) were evaluated (Table 3). Sixty-two patients had MRI results available, 17 patients had CT results available, whereas, 21% of the patients had both MRI and CT findings available.

Procedural Characteristics

Average C-arm time was 8.3 ± 4.95 seconds. The needle was found to be intravascular in 14% of the patients. However, no flashback and negative aspiration was noted in 7%, or 50%, of those patients with intravascular placement of the needle. Table 4 illustrates needle placement characteristics. Successful cannulation required one attempt in 77% of the patients, 2 attempts in 15%, and 3 or more attempts in 8%. The epidural needle was appropriately placed in 77% of the patients without fluoroscopic visualization and confirmed following the fluoroscopic visualization. Inaccurate placement was found in 23% of the patients. Intravascular placement was seen in 14%, whereas, extra-epidural placement was

seen in 9% of the patients with total misplacements of 23%.

Contrast Flow Patterns

Figure 1 illustrates anatomical considerations. Figure 2 illustrates defined epidural and nerve root fillings. Any filling noted within one-third of the spinal canal close to the ventral surface was considered as ventral filling, whereas, filling to less than one third of the ventral area of the spinal canal was considered as dorsal filling. Figures 3-13 illustrate various filling patterns of epidural space and nerve roots.

Table 5 illustrates epidural and nerve root filling patterns as observed. Approximately two-thirds of the patients (69%) showed ventral filling, whereas, most of the patients (92%) showed dorsal filling. Nerve root fillings were also highly variable with S1 nerve root filling seen in 70% of the patients.

Nerve root filling was correlated with lower extremity pain as shown in Table 6. Nerve root filling correlated with leg pain pattern in 43% of patients only.

Pain Reproduction and Pain Relief

Reproduction of pain was seen in the

low back in 43% of the patients and in lower extremity(s) in 22% of the patients during the procedure (Table 7). Eight percent of the patients experienced both back and lower extremity pain. Pain relief was seen in all the patients with 90% of the patients reporting 50% or greater relief immediately following the injection.

Complications

Complications were evaluated during the procedure immediately in the postoperative period as well as within 24 to 72 hours in the postoperative period. While soreness at injection site was the most common complication (18%), no complications were described in 76% of the patients. Soreness varied significantly with number of attempts. It was seen in 13% of the patients with one attempt, in 27% of the patients with two attempts and in 50% of the patients with three or more attempts.

DISCUSSION

This prospective evaluation showed successful epidural placement of the needle in 77% of the patients without fluoroscopy. This study also showed misplacement of the needle in 23% of the

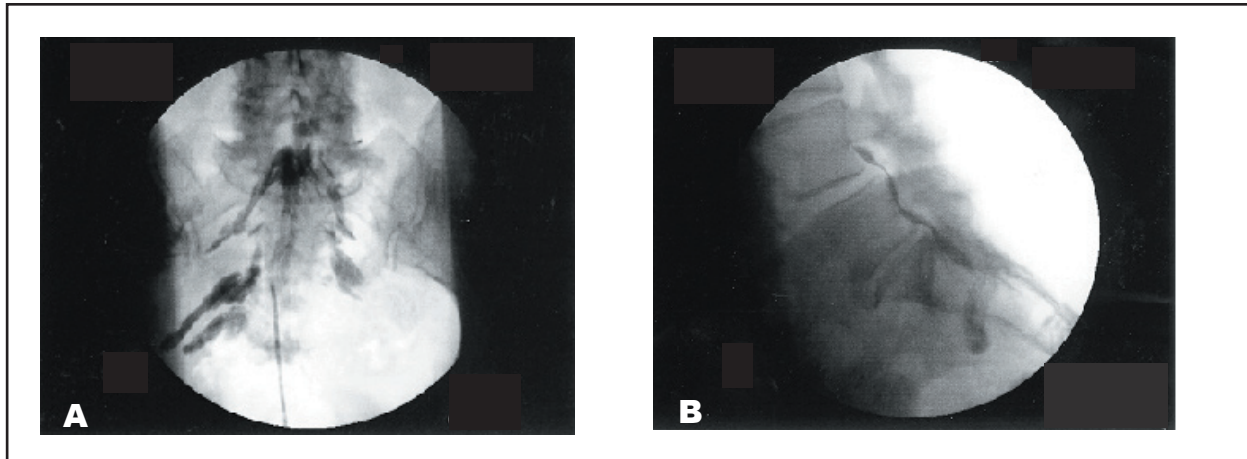


Fig. 3 Epidural filling pattern with nerve roots and ventral filling. Ventral filling on lateral view extends beyond the flow seen on PA View.

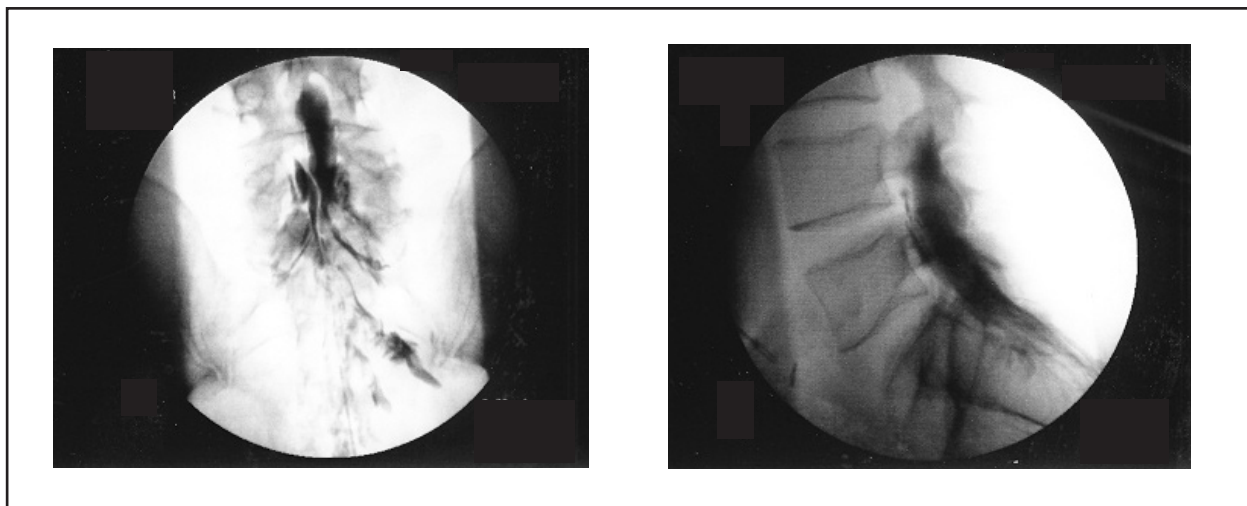


Fig. 4. Bilateral filling noted on PA view, with dorsal filling on lateral view

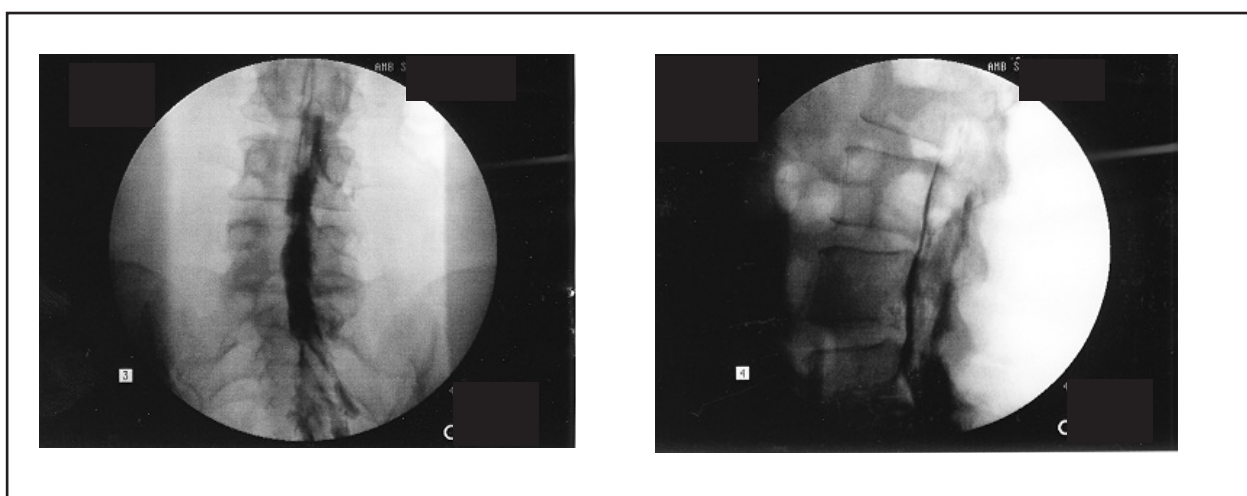


Fig. 5. Unilateral epidural filling on PA view with ventral and dorsal filling in a lateral view

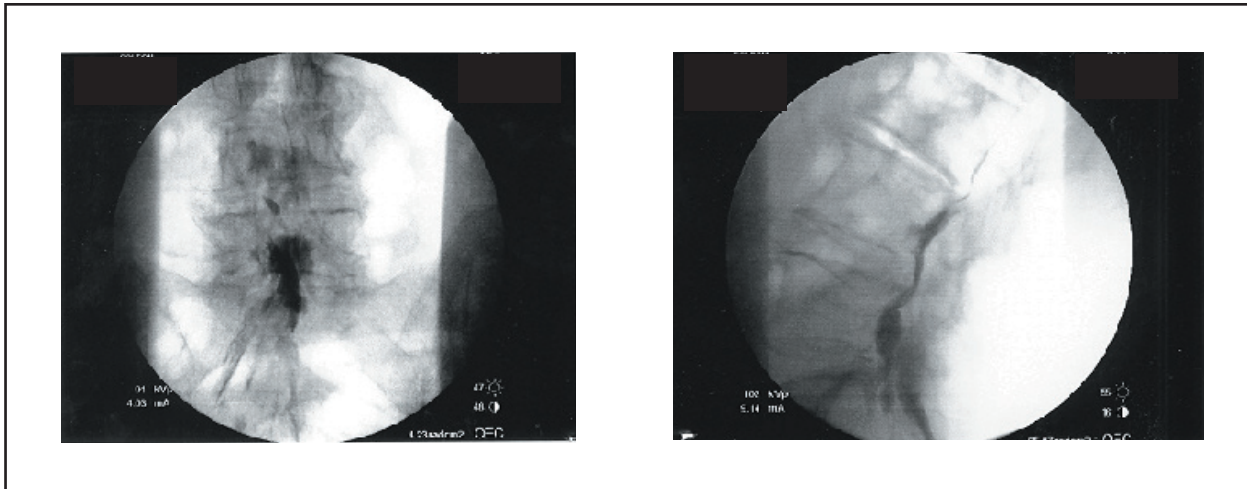


Fig. 6. Poor epidural filling on PA view with ventral filling on lateral view

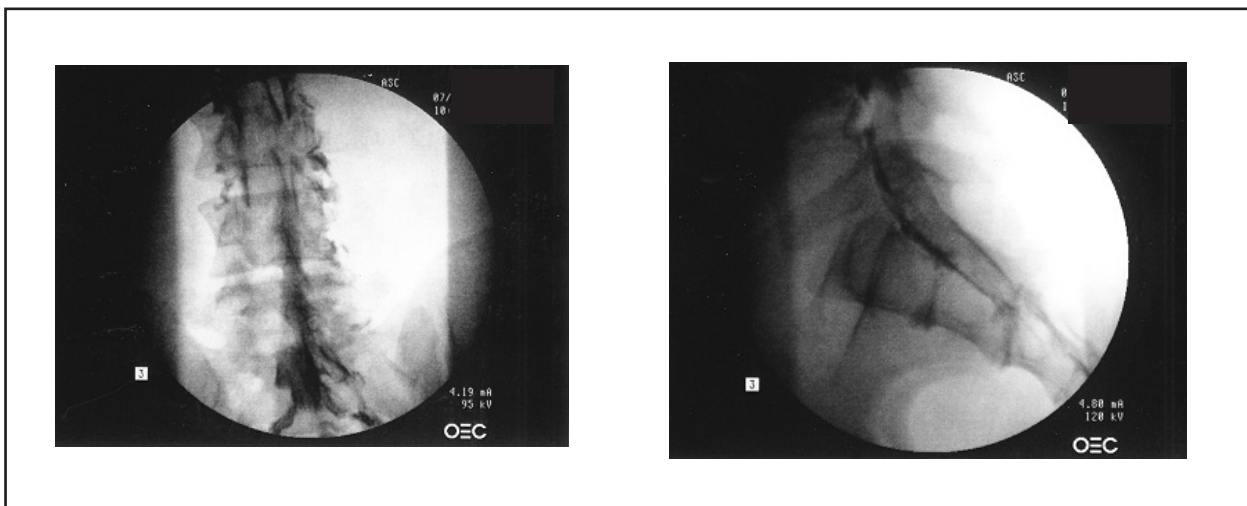


Fig. 7. Extensive epidural filling to L1 level on PA view, with corresponding extensive filling on lateral view.

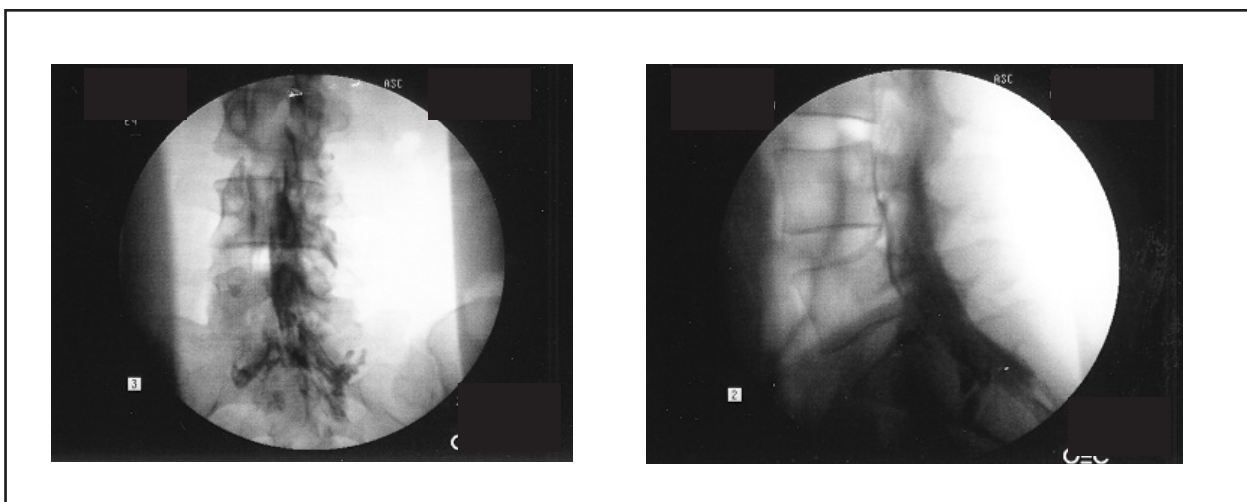


Fig. 8. Predominantly unilateral filling pattern on PA view, with ventral and dorsal filling on lateral view

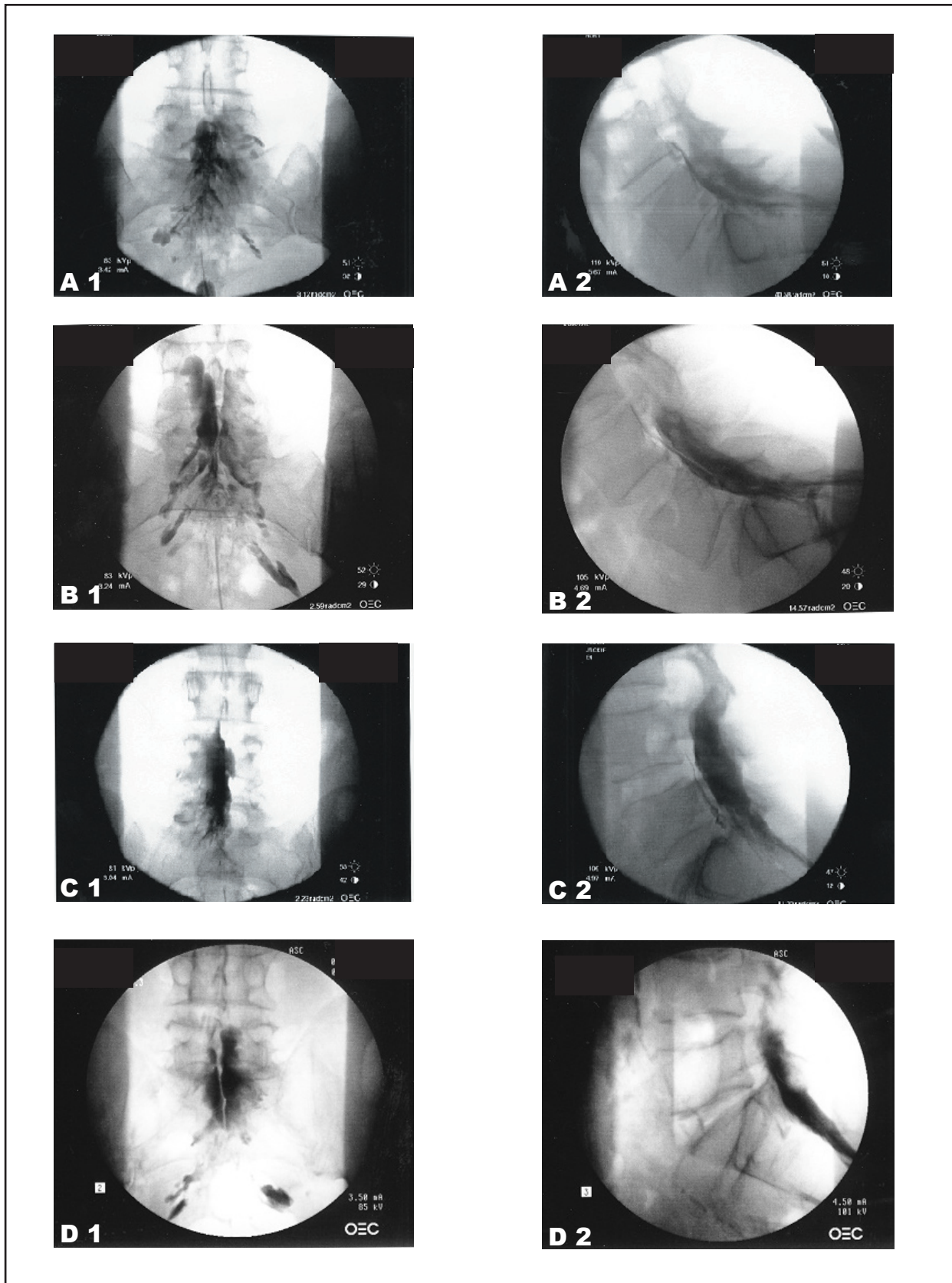


Fig. 9. Multiple epidural filling patterns on PA and lateral view

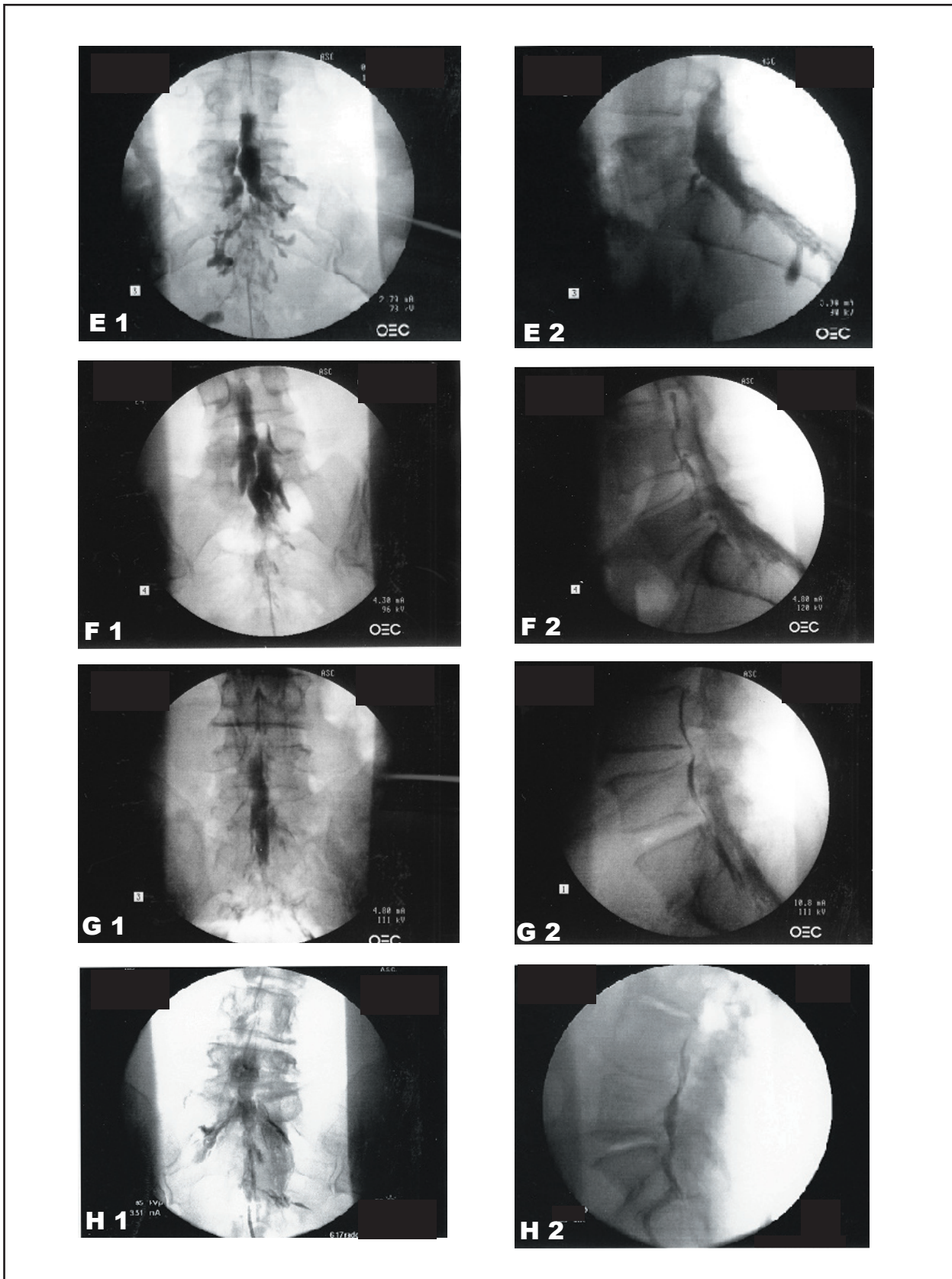


Fig. 10. Multiple filling patterns (Continued)

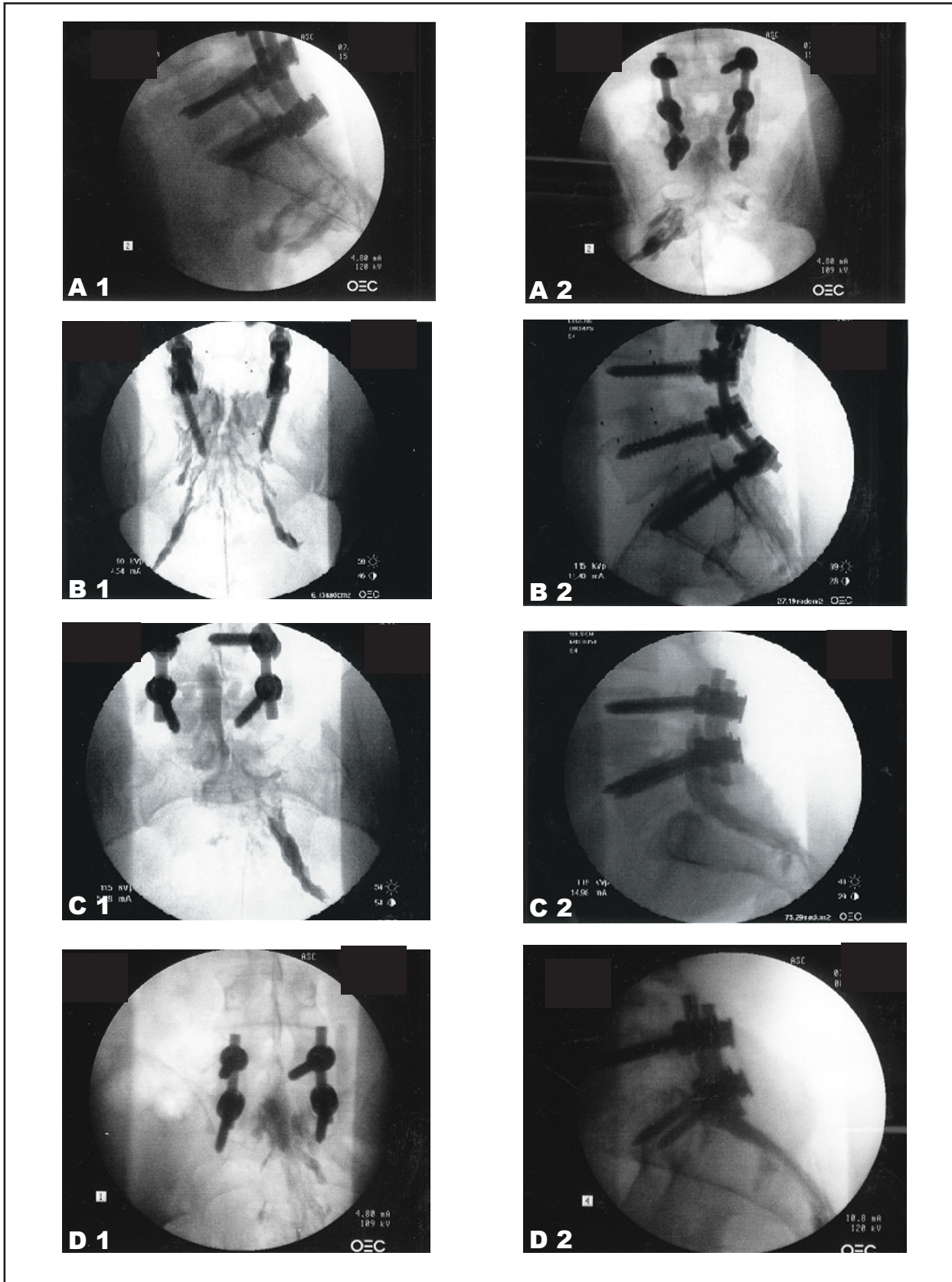


Fig. 11. Epidural filling patterns on PA and lateral views in post surgical patients

patients with 14% intravascular and 9% extra-epidural. Further, this evaluation showed dorsal epidural filling pattern in 92% of the patients in contrast to ventral epidural filling pattern in only 69% of the patients. Nerve root filling was also seen in 30% of the patients. Correlation of lower extremity pain in nerve root filling was noted in 43% of the patients.

The reasons for performing an epidural injection under fluoroscopic guidance may include accurate placement of the needle with ease, consequently potential accurate placement of the injectate, resulting in proper outcomes. However, the reasons for performing an epidural injection without fluoroscopy guidance may include the added cost of the fluoroscopy, inconvenient scheduling, non-availability of the equipment, facility location, ionizing radiation, allergy to contrast agents, and pregnancy. Thus, it is mandatory for interventional pain physicians to understand the pitfalls of both fluoroscopy and performing the procedure without fluoroscopy, and to ensure that the procedure is performed as accurately and safely as possible. Previous studies have shown that incorrect needle placement during epidural injection occurs with relative frequency when performed without the use of fluoroscopic guidance (4, 19-23). With a caudal technique, the most common incorrect needle placement appears to be in a significant proportion of patients either with subfacial placement or unrecognized intravascular placement. Multiple investigators over the years (4, 19-25) have shown incorrect placement without fluoroscopy to range from a low of 8% to a high of 38% in experienced hands. They also showed unrecognized intravascular placement to range from 3.7% to 9%. The average inaccurate placement was 36%, whereas, intravascular placement was 6.5% of the individuals receiving caudal epidural injections.

Renfrew et al (21) prospectively evaluated 316 caudal epidural steroid injections given by staff radiologists and residents over a period of one year. They showed that the success rate of epidural steroid injections was variable with physician experience. The correct non-fluoroscopically directed placement of the needle was seen only in 48% of the patients when physicians were judged who had given fewer than 10 epidural steroid injections, whereas, when physicians had

performed between 10 and 50 such procedures, success rate was 54%, in contrast to staff physicians with a success rate of 62%. They reported that, even when the sacral hiatus was easily palpated and the staff physician was confident of the position of the needle being in the epidural space, fluoroscopy revealed incorrect placement in 14% of the patients. In addition, they showed that when the needle was positioned within the sacral canal and no blood was evident on Valsalva maneuver or aspiration, the injection was venous in 9% of the cases. White et al (20) showed incorrect placement in 25% of the patients with intravascular placement in 6.4% of the patients. Manchikanti et al (4) showed that the needle was successfully placed in 80% of the patients without fluoroscopy with 7% with intravascular placement and 13% with extra-epidural placement. Stitz and Sommer (19) showed appropriate placement in 74% of the patients without fluoroscopy with 3.75% intravascular placement. Maigne et al (22) showed that they were successful at placing a needle in the caudal epidural space on a first attempt 68% of the time, improving to 85% after two attempts. Price et al (24) reported accurate placement only in 64% of the patients. In contrast, Eastwood and Buchan (25) reported accurate placement in 92% utilizing a "whoosh test." Whoosh test involves injection of air into the caudal epidural space and simultaneous auscultation over the thoracolumbar spine to aid in correct needle placement.

The current results, though similar to some of the previous reports (19-24), and higher than one report (25), overall show a higher proportion of correct needle placement on average (23% vs 36%). Unrecognized vascular placement was also variable with the previous studies, however, overall it was higher than the average incidence of intravascular placement reported thus far.

One of the major concerns about lumbar and caudal epidural steroids is that their true efficacy might not be evident in clinical trials because the injectate fails to reach the desired target (7, 8, 13, 20, 26, 27). It has been postulated that even a well-performed caudal epidural injection might fail to afford appropriate relief because the drug never reaches the required target in appropriate concentrations. Thus, the objective of an epidural steroid injection is to deliver corticoste-

roid close to the site of pathology, presumably onto an inflamed nerve root. This is based on the premise that the corticosteroid delivered into the epidural space attends higher local concentrations over an inflamed nerve root and will be more effective than a steroid administered either orally or by intramuscular injection. Target site concentrations of steroids depend upon multiple injection variables, though mainly it is the route of epidural administration. Caudal epidural injections, similar to interlaminar epidural injections, are affected by the presence or absence of epidural ligaments or scarring, which may prevent migration of the posterior administered injectate to the anterior epidural space. In normal volunteers it was shown that the transforaminal approach showed good ventral flow, whereas, the interlaminar method showed predominantly dorsal flow, which was far removed from the usual site of inflammation (26). Saal and Saal (27) described various factors leading to the failure of epidural corticosteroid injections. These included: insurmountable pathology; inadequate delivery of corticosteroid to the target site; and non-injection factors, including inappropriate post block activity, misinterpretation of pain generator, and unmasking phenomenon (13). Thus, it appears that the major factor is the technical one of the delivery of medication to the epidural space. Thus, we have evaluated nerve root filling, as well as the ventral filling in this study. Appropriate nerve root filling was noted only in 43% of the patients, whereas, ventral filling of the epidural space was noted in 69% of the patients. Thus, it appears that in spite of 10 mL of volume under fluoroscopic visualization, injectate may not reach the target site in a significant proportion of patients. Even then, significant pain relief was noted in the immediate postoperative period. This study also showed in 43% of the patients reproduction of back pain, and in 22% reproduction of leg pain.

There were no major complications. All the complications were minor. These ranged from minor bleeding, fever, dizziness, nausea/vomiting in 1% of the patients to soreness at injection site reported in 18% of the patients. Soreness at injection site significantly increased with number of attempts (13% with one attempt vs 35% with two or more attempts). Seventy-six percent of the patients reported no complications.

CONCLUSION

This prospective evaluation showed successful epidural placement of the needle in 77% of the patients without fluoroscopy. This study also showed misplacement of the needle in 23% of the patients with 14% intravascular and 9% extra-epidural. In 50% of the patients with intravascular placement, flashback, and aspiration were negative. Ventral epidural filling was noted in 69% of the patients with appropriate nerve root filling noted in only 43% of the patients. Thus, it appears that in spite of fluoroscopic administration of caudal epidural injections, target delivery may not be possible in the majority of the patients. Soreness at injection site significantly increased with number of attempts (13% with one attempt vs 35% with two or more attempts).

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