

Systematic Review

## Anterior Cingulotomy for the Treatment of Chronic Intractable Pain: A Systematic Review

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**Background:** Anterior cingulotomy for chronic pain aims to modulate patients' attention or emotional reaction to pain rather than to modulate pain intensity.

**Objectives:** To evaluate the clinical efficacy, both short- and long-term, of anterior cingulotomy in the treatment of chronic pain.

**Study Design:** Systematic review.

**Setting:** This systematic review assessed studies reporting anterior cingulotomy for the treatment of chronic pain.

**Methods:** A systematic search of Web of Science, Scopus, PubMed, and PsychINFO was performed using both key words and controlled vocabulary. Articles included in this review included peer-reviewed articles describing clinical outcomes or efficacy of cingulotomy in the treatment of chronic pain with minimum follow-up of 3 months for non-malignant and 2 weeks for malignant pain. Articles reporting cingulectomies or cingulotomy only as combined with other ablative procedures were excluded, as were individual case reports.

**Results:** A total of 11 articles encompassing 224 patients are included in the review, with age ranging 22 to 85 (mean: 56) years at the time of the operation, 59% of which were men. Greater than 60% of patients across all studies were reported to have significant pain relief post-operatively as well as at one year after surgery. Common transient adverse effects included urinary incontinence and confusion/disorientation, subsiding within days postoperatively. Serious/permanent adverse effects included seizure in less than 5%, hemiparesis in less than 1%, and personality change in less than 1% of operations reported across all studies, all of which occurred primarily in operations where magnetic resonance (MR)-guidance was not used.

**Limitations:** The limitations of this systematic review include the lack of studies other than observational reports and the inevitable heterogeneity between included studies.

**Conclusions:** Despite decreased utilization in recent years, anterior cingulotomy is an effective neurosurgical intervention in the treatment of pain and carries little risk of permanent or serious adverse effects.

**Key words:** Anterior cingulotomy, chronic pain, stereotaxis, systematic review, pain, cingulate gyrus, cingulotomy, intractable pain

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**P**ain is the most common complaint of patients seeking medical care (1), and can be debilitating to the degree of preventing normal everyday function. However, relief of chronic pain in cancer

patients or in patients with non-neoplastic sources of pain can be difficult to achieve and maintain (2). Non-steroidal anti-inflammatory drugs, opiates, and non-narcotic analgesics remain the mainstay of treatment;

however, the dose of such medications can be increased only to the extent to which the benefit of pain relief outweighs the drug-induced adverse effects. When pain thus proves to be refractory to such medications, neuromodulatory and neurosurgical interventions may play a role (3-6).

Pain has been described in 3 dimensions: sensory (pain intensity), affective (pain unpleasantness), and cognitive (7,8). The anterior cingulate cortex (ACC) has been shown to be involved in the perception of pain, particularly the affective component (9,10). The ACC is located in the medial part of the cerebral hemispheres partially circumscribing the corpus callosum. Unlike the posterior cingulate cortex, the ACC is part of the limbic system, receiving inputs from other limbic structures such as the amygdala, and projecting outputs to the periaqueductal grey and brainstem. Its role in emotion has led to it being targeted in ablative procedures treating medically refractory psychiatric illnesses including obsessive-compulsive disorder, depression, and severe anxiety (11-16).

For decades, anterior cingulotomy has been performed in the treatment of chronic medically refractory pain (1,2,9,15,17-23). Anterior cingulotomy is thought to function not by modulating the sensation of pain intensity, but rather, the patient's attention or emotional reaction to the pain (1,9,17). The affective component of afferent pain fibers has been shown to be relayed from midline thalamic nuclei to the cingulate gyrus (9,24,25). Experimental and functional imaging studies have shown a direct role of the cingulate gyrus in chronic pain processing (26-29). Moreover, in vitro studies have demonstrated long-term potentiation of excitatory synapses within the ACC in response to injuries (30). Such potentiation has been shown to continue in the absence of input from the periphery, implicating the ACC to play a role in chronic pain. Together these results provide an anatomic, functional, and cellular rationale for ablative lesions of the ACC in treating medically refractory chronic pain.

In recent years, ablative lesions such as anterior cingulotomy have fallen out of favor in deference to other neuromodulatory therapies such as spinal cord and deep brain stimulation as well as intrathecal therapies (4,6). However, there still remain patients who fail to respond to these therapies (4,31). Therefore, understanding the role and efficacy of ablative procedures is critical in defining the comprehensive approach to pain management. The goal of this systematic review is to evaluate the clinical efficacy, both short- and

long-term, of anterior cingulotomy in the treatment of chronic medically refractory pain.

## **METHODS**

The methodology used in this review was in accordance with Preferred Reporting Items for Systematic Reviews and Meta Analyses (PRISMA) guidelines, Agency for Healthcare Research and Quality (AHRQ) recommendations ([www.effectivehealthcare.ahrq.gov](http://www.effectivehealthcare.ahrq.gov)), and formal review processes derived from evidence-based systematic reviews (32,33).

### **Data Source and Search**

A systematic search of the following electronic databases was performed through October 2015: Web of Science, Scopus, PubMed, and PsychINFO. Key words and controlled vocabulary (i.e., MeSH terms) were employed using Boolean "and" and "or" arguments. Key words used included "pain," "chronic pain," "cingulotomy," "cingulumotomy," and "cingulectomy"; controlled vocabulary search terms included "pain" and "psychosurgery." A representative search is as follows: ((pain[MeSH Terms]) OR pain OR "chronic pain") AND ("psychosurgery" [MeSH Terms] OR cingulotomy OR cingulumotomy)). No language restrictions were employed. Conference proceedings were included. Additional articles were hand searched through review of references cited within articles. Review articles were used only to extract any additional articles not found within the original search and were not included as separate articles themselves within the analysis. Articles prior to 1975 found through such citation mapping were hand searched within the Biomedical Research Library at the University of California at Los Angeles.

### **Study and Patient Selection**

We included peer-reviewed articles that described clinical outcomes or efficacy of cingulotomy in the treatment of chronic pain. To be included, reports must have included a minimum follow-up of at least 3 months for patients with nonmalignant sources of pain and 2 weeks for patients with malignant sources of pain (due to the shorter survival in this patient cohort). Articles reporting only on anterior cingulotomy in combination with other ablative procedures were excluded from the review, as were articles reporting cingulectomies. Some articles reported anterior cingulotomy combined with another ablative procedure in some patients but alone in others (20), and thus were included. From these articles, individual patients undergoing combined

surgeries were excluded from the review. Individual case reports involving one or 2 patients were excluded from the analysis. Patients requiring reoperations were noted. For publications from the same authors, care was taken to not count individual patients twice. A summary of study inclusion and exclusion criteria is provided in Table 1.

### Data Synthesis and Analysis

Data were summarized based on study design (sample size, reporting quality), patient demographics (age, gender, cause of pain), intervention characteristics (lesion type, guidance, location), and method of quantifying pain relief. Given that included articles quantified pain relief using multiple different methods and often stratified the degree of pain relief categorically (e.g., "good," "excellent"), we defined "significant" pain relief as that which comprised relief of at least a 50%, or for articles stratifying patients categorically, as that falling under any categories with median pain relief of at least 50%, or otherwise if not reported, left to the discretion of the original authors.

Of the articles with patients requiring reoperation, some did not discriminate between reoperation and original surgery in the presentation of overall results; thus, overall outcomes presented here included reoperations – thus intent to treat analysis. In the calculation of effect of lesion location on pain relief, some articles provided ranges of lesion location midpoints, while others provided a single midpoint value. For the articles providing ranges, a mean of the endpoints of the range was used.

## RESULTS

### Patient Demographics

A total of 11 articles encompassing 224 patients are included in the review (Fig. 1). Of the articles that provided age ranges for patients (1,2,15,18,19,21-23), age ranged from 22 to 85 years at the time of operation. Of these articles, 7 provided mean or median ages of patients included in the study (1,2,15,18,19,22,23). The weighted mean (based on number of patients included in the analysis) of the means or medians provided was 56 years of age at the time of operation. Seven articles provided information about the gender of patients (1,15,18,19,21-23), to include a total of 147 patients. Of these, 87 (59%) were men and 60 (41%) were women.

Due to possible differences between neoplastic and non-neoplastic sources of pain, we performed additional

Table 1. *Study selection criteria.*

Inclusion
Dx of chronic pain intractable to medication
Cingulotomy
Pain quantification before and after cingulotomy
Adult patient (age $\geq$ 18 years)
Exclusion
All cingulotomies exclusively combined w/ other intervention
Case report
Open cingulotomy
FU < 2 weeks for neoplastic or < 3 months for non-neoplastic pain

Dx = diagnosis; FU = follow-up

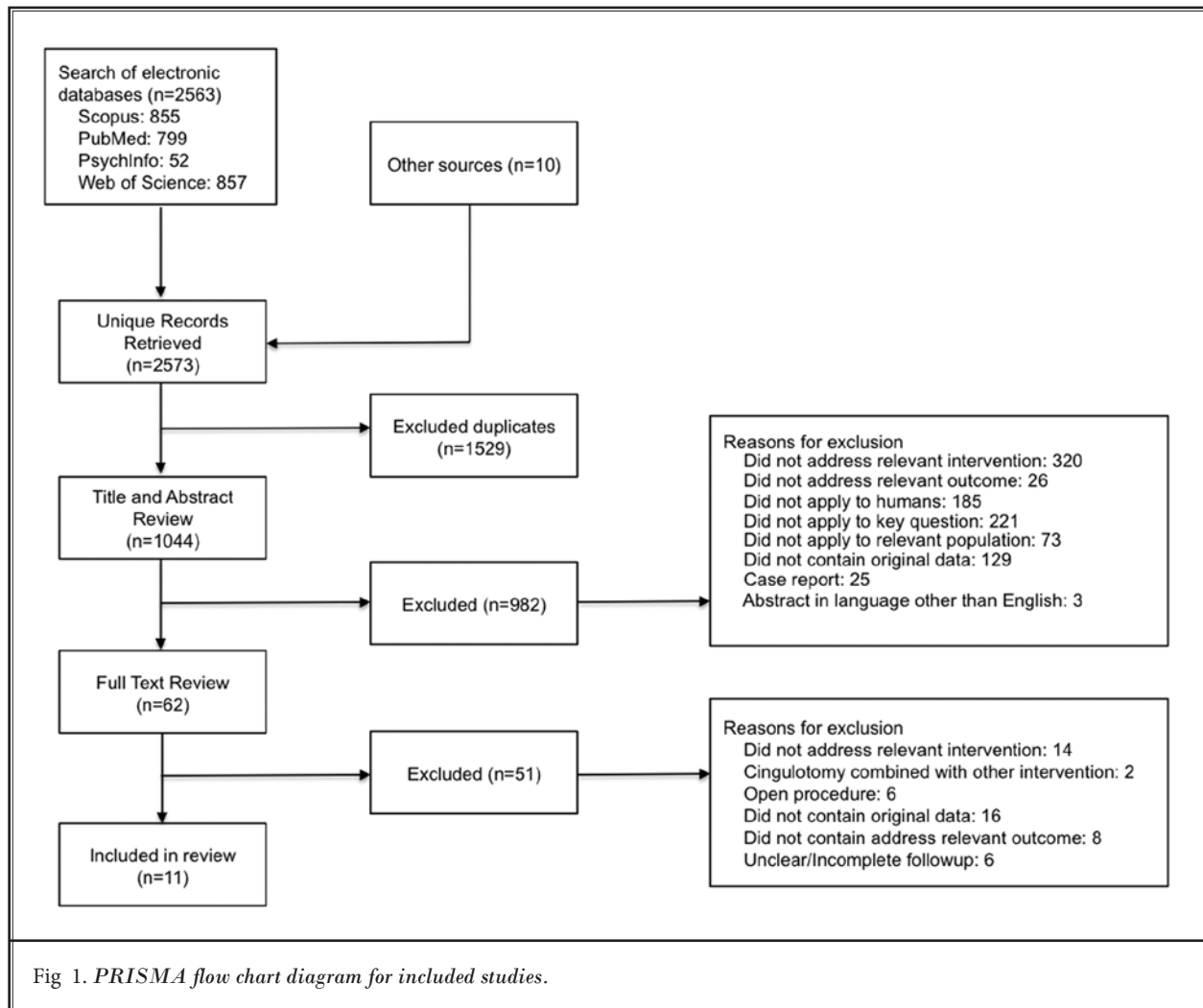
analyses of each of these subsets. All articles provided information regarding source of pain (Table 2). Nine articles included patients with pain of neoplastic origin, 2 articles of which included only patients with pain of neoplastic origin, encompassing 97 patients. Likewise, 9 articles included patients with non-neoplastic sources of pain, 2 articles of which exclusively included non-neoplastic sources, encompassing 127 patients.

In all articles, patient selection criteria included severe disabling pain that was refractory to medication. Many of the articles (9,15,17,18) described emotional factors (e.g., diagnosis of depression) existing in conjunction with pain, which were believed to be contributing to the pain symptoms or were themselves attributed to, at least in part, the unrelenting pain. Unrelenting chronic pain itself is empirically known to contribute to depression (34). Moreover, since many patients were in the terminal stages of cancer, comorbid depression or anxiety was deemed understandable by the original authors (35). In such cases however, it was made clear that cingulotomy was done to relieve suffering from the pain, and not for the treatment of psychiatric illness.

### Time-dependent Outcomes

Of the total 224 patients included in this analysis, 149 (67%) had significant relief post-operatively. Of 98 patients with cancer pain, 66 (67%) had significant pain relief post-operatively. Of 127 patients with pain of non-neoplastic origin, 83 (65%) had significant pain relief post-operatively (Table 3A, 3B, 4).

A total of 156 (out of 224) patients had follow-up reported for at least 3 months, of which 87 (56%) had significant pain relief. Since short-term mortality was significantly higher in cancer patients, follow-up for these patients were generally shorter than that of



patients with non-neoplastic sources of pain. Forty-four patients with cancer pain had confirmed follow-up to at least 3 months, of whom 23 (52%) had significant pain relief. One hundred and twelve patients with non-neoplastic sources of pain had follow-up time to at least 3 months duration, of whom 64 (57%) had significant pain relief.

A total of 94 patients had follow-up to at least 6 months post surgery, of which 59 (63%) were reported to have significant pain relief. Of 20 cancer patients who had follow-up to at least 6 months, 12 (60%) had significant pain relief. Likewise, 47 of 74 (64%) patients with non-neoplastic pain with at least 6 months follow-up reported significant pain relief.

Amongst 82 patients with at least one year of follow-up, 53 (65%) reported pain relief, including 6 of 9

cancer patients (67%) and 47 of 73 (64%) patients with non-neoplastic pain. A summary of this data is provided in Table 4.

The outcomes described above are based on response rates amongst all patients with available follow-up at each time point, implicitly assuming that response rates are equal amongst patients with and without follow-up. The majority of patients with neoplastic pain had short follow-up due to death attributable to the primary malignancy. However, for patients with non-neoplastic pain, patients were generally lost to follow-up for reasons other than death. We therefore also evaluated the efficacy of anterior cingulotomy in patients with non-neoplastic pain assuming that patients with shortened follow-up that was not explicitly attributed to death were failures of treatment. Using

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Table 2. Patient population, operative technique, and quantification of pain.

	Patient demographics	No. of Patients (neoplastic + non-neoplastic)	Cause of Pain	Operative technique	Pain Quantification
1. Foltz and White, 1962	Not stated	16 (6+10)	Neoplastic: head and neck pain 2, back and hip pain 2, shoulder and arm pain 1. Non-neoplastic: face pain 2, vaginal-perineal pain 2, chest pain 1, arm causalgia 1, "emotional" angina 1, atypical causalgia thigh stump 1, leg pain 2	Unilateral (5 patients) or bilateral (11 patients) anterior cingulotomy under fractional pneumoencephalography or air ventriculography guidance, with tips of electrodes 5–6 mm above superior ependymal of frontal horns and 1–2.5 cm posterior to tip of frontal horns, 1.3 cm from midline. Lesions made with electrocautery (coagulation), 20–30 seconds	Clinical assessment
2. Foltz and White, 1968	Not stated	35 (11+24)	Neoplastic: throat cancer 1, tongue cancer 1, face and neck cancer 1, back-hip metastases 2, shoulder/arm cancer 1, phantom limb pain 1 Non-neoplastic: headache 1, coccydynia 1, face pain 3, vaginal-perineal pain 2, chest pain 1, "burning feet" 1, causalgia 3, emotional angina 1, pancreatitis 1, painful legs (paraparesis and paraplegia) 3, thalamic face pain 1, hemi-body pain 2, chest hyperaesthesia 1, lumbar arachnoiditis 3	Unilateral (6 patients) or bilateral (29 patients) anterior cingulotomy under xray ventriculographic guidance 2.5 cm posterior to tip of frontal horns. Lesions made by radiofrequency generator 45–50C	Clinical assessment, with 5 tier system (excellent = no spontaneous episodes of pain, good = occasional episode of pain, fair = pain present but not persistent, poor = no change in pain, worse = increased pain complaints)
3. Faillace et al., 1971	Age: range 42 – 66 (median 51) Gender: 4M 5F	9 (7+2)	Neoplastic: Terminal cancer (unspecified). Non-neoplastic: Intractable back pain.	Stereotactic bilateral anterior cingulotomy done by radiofrequency heat ablation, 3.5 – 4 cm posterior to tip of frontal horns.	Clinical assessment based on patient's subjective report
4. Hurt and Ballantine, 1974	Age: range 22 – 85, mean 56 years Gender: 43M 25F	68 (32+36)	- Neoplastic: Carcinoma of mouth (4), pharynx (2), larynx (3), lung (7), colon (3), pancreas (3), uterus (2), bladder (2). Melanoma (2). Liposarcoma 1, osteosarcoma 1, undifferentiated neoplasm 1 - Non-neoplastic: Atypical facial pain 1, central pain 1, phantom limb pain 2, tabetic pain, postherpetic facial pain 2, postherpetic truncal pain 2, paraplegic pain 2, arachnoiditis pain 8, pain of unknown etiology (visceral) 6, pain of unknown etiology (somatic) 10	Stereotactic bilateral anterior cingulotomy under ventriculographic guidance, 2–4 cm posterior to tip of frontal horns. Lesions made by radiofrequency 8 watts for 60 – 75 seconds.	Review of medical records, assigning 5 point scale. In 36 patients alive (34 non-neoplastic and 2 neoplastic), questionnaire sent (complete (100% relief), marked (70–90% relief), moderate (40–60% relief), slight (10–30% relief), none (0% relief) as substitute. 28 replied
5. Voris and Whisler, 1975	Not stated explicitly	16 (5+11)	Neoplastic: Head and neck pain 24, trunk pain 6, extremities pain 5, generalized pain 1	Stereotactic bilateral cingulotomy 10–25mm posterior to tip, 6mm above superior border of anterior horn, 12 mm from midline	NA

Table 2 (cont). *Patient population, operative technique, and quantification of pain.*

	<b>Patient demographics</b>	<b>No. of Patients (neoplastic + non-neoplastic)</b>	<b>Cause of Pain</b>	<b>Operative technique</b>	<b>Pain Quantification</b>
6. Pillay and Hassenbusch, 1992	Age: range 24 – 69 (mean 51.9) Gender: not stated	10 (8+2)	- Neoplastic: All with bony metastases: spindle cell carcinoma 1, lung carcinoma 1, breast carcinoma 3, rectal carcinoma 1, chordoma 1, myeloma 1 - Non-neoplastic: neurofibromatosis 1, thalamic stroke 1	Stereotactic bilateral cingulotomy 24 mm posterior to tip of frontal horns under MRI guidance, 1 lesion 75C for 60 sec	NA
7. Cohen et al., 1999	Age: range 40 – 58 Gender 9M 3F	12 (0+12)	Noncerebral traumatic injury	Stereotactic bilateral anterior cingulotomy with thermal probes creating lesions 5 mm in diameter, slightly lateral to midline	Brief pain inventory (10 point Likert scale rated by patient)
8. Wilkinson et al., 1999	Age: range 32 – 77 Gender: 15M 8F	23 (0+23)	Majority had lumbar or sciatic pain related to “failed back syndrome” or adhesive arachnoiditis. Also phantom leg pain, venous occlusive dz, ischemic bilateral leg pain, “failed neck” pain, and atypical facial pain	Stereotactic bilateral anterior cingulotomy under air ventriculography or CT guidance. Radiofreq thermocoagulation 5 mm above roof of ventricle and 5mm lateral to midline. with 80C for 2 minutes. Then electrode withdrawn 1 cm and second lesion on each side	Visual analog scale with “excellent” (>6 points reduction), “useful” (>2–5 points reduction) and no relief
9. Yen et al., 2005	Age: Range 35–79, mean 58.3 years Gender: 10M 5F	22 (15+7)	Cancer: Mainly end stage malignancy from bony mets. 4 lung cancer, 2 breast cancer, 2 HCC, 2 mesothelioma, 1 thyroid, 1 esophageal, 1 ureteral, 1 lymphoma, 1 unknown primary Non-Cancer: 2 diabetic neuropathy, 2 failed back surgery syndrome, 2 limb pain following sp injury, 1 trigeminal neuralgia	Stereotactic bilateral anterior cingulotomy under MRI guidance. 24 mm posterior to frontal horn Radiofrequency thermocoagulation with thermocoupled bipolar lesion electrode	Visual analog scale
10. Yen et al., 2009	Age: 40 – 72 years, mean 64.4 years Gender: 6M 4F	10 (10+0)	Terminally ill cancer (details not provided)	Identical to Yen et al., 2005	McGill pain questionnaire, with good > 75% pain relief, fair 25–75% pain relief, poor <25% pain relief
11. Patel et al., 2015	Age: 38, 45, 51 Gender: 0M 3F	3 (3+0)	Liposarcoma of thigh with metastasis to retroperitoneum and deep muscles of back. Breast cancer with metastasis to liver, lung, brain. Colorectal Cancer with metastasis to liver and lungs	Bilateral anterior cingulotomy via laser interstitial thermal therapy under MRI guidance. 2 lesions created bilaterally, 2nd lesion 1cm above superior to first, resulting in mean lesion size 1.44 cm <sup>3</sup>	Brief pain inventory (Pain Interference scale and Pain Severity Scale)



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Table 3A. *Patient outcomes.*

Article	Patients (neoplastic + non-neoplastic)	Neoplastic outcome	Neoplastic FU	Non-neoplastic outcome	Non-neoplastic FU
1. Foltz and White, 1962	16 (6+10)	5/6 patients with good-excellent outcome	4 days – 9 months	6/10 patients with good-excellent outcome	3 months – 7 years
2. Foltz and White, 1968	35 (11+24)	9/11 patients with good-excellent outcome	Not stated	18/24 patients with good-excellent outcome	1 year – 9 years
3. Faillace et al., 1971	9 (7+2)	3/7 patients with pain relief	3 days – 3 months	1/2 patients with pain relief	>2 years
4. Hurt and Ballantine, 1974	68 (32+36)	- 18/32 moderate to complete relief at 3 months or less - 2/9 moderate to complete relief at > 3 months	4 days – 6 years	- 16/36 moderate to complete relief at 3 months or less - 16/36 moderate to complete relief at > 3 months	6 months – 9 years
5. Voris and Whisler, 1975	16 (5+11)	5/5 relief to time of death	1–12 months	8/11 relief at 1–12 months 2/11 relief at 1 year 1/11 at 3 years	1 month to 3 years
6. Pillay and Hassenbusch, 1992	10 (8+2)	5/8 good to excellent relief	1 year	1/2 relief	1 year
7. Cohen et al., 1999	12 (0+12)	NA	NA	8/12 relief	1 year
8. Wilkinson et al., 1999	23 (0+23)	NA	NA	18/23 useful-excellent relief	1 year to 15 years
9. Yen et al., 2005	22 (15+7)	- 12 /15 meaningful-significant relief at 1 week - 9/15 meaningful-significant relief at 1 month - 7/12 meaningful-significant relief (who survived) at 3 months - 5/10 meaningful to significant relief at 6 months	1 week to 6 months	- 7/ 7 meaningful-significant relief at 1 week - 5 / 7 meaningful-significant relief at 1 month - 5/ 7 meaningful-significant at 3 months - 5/7 meaningful-significant at 6 months - 5/7 meaningful-significant at 1 year	1 week to 1 year
10. Yen et al., 2009	10 (10+0)	- 6 /10 fair-good relief at 1 week - 5 /10 fair-good relief at 1 month - 6 /10 fair-good relief at 3 months	1 week to 3 months	NA	NA
11. Patel et al., 2015	3 (3+0)	- 3 relief/3 at 2 weeks - 1 relief/2 at 6 week - 1 relief/2 at 4 months	2 weeks to 4 months	NA	NA
TOTAL	224 (97+127)	66/97 relief post-op	-----	83/127 relief post-op	-----

NA = not available

this approach, of the 127 patients with non-neoplastic pain initially treated with anterior cingulotomy, at least 64 (50%) had significant pain relief at 3 months and at least 47 (37%) had significant pain relief at both 6 months and one year post-operatively.

### Reoperations

A proportion of patients underwent reoperation (repeat cingulotomy) due to inadequate pain control

after the initial surgical intervention. Importantly, the results of time-specific outcomes above include reoperations. Across all studies encompassing 224 patients, a total of 17 reoperations in 16 patients were identified across 5 reports (9,15,17,19,23), corresponding to 7.6% of the total number of initial operations. Of the 17 reoperations, 10 reoperations (9,15,17,19,23) were reported with sufficient detail from which individual patient successes of reoperation could be determined

Table 3B. *Patient outcomes with temporal resolution.*

Article	Neoplastic pain	Non-neoplastic pain
1. Foltz and White, 1962	- 4 days: (2 excellent relief, 3 good relief, 1 fair relief) /6 patients - 1 month: (2 excellent relief, 2 good relief, 1 fair relief) /5 patients - 5 months: (1 excellent 1 fair)/ 2 patients - 9 months: 1 excellent /1 patient	- 3 months: (3 excellent relief, 3 good relief, 2 fair relief, 2 poor relief) / 10 patients - 5 months: (3 excellent relief, 3 good relief, 2 fair relief, 1 poor relief) /9 patients - 6 months: (3 excellent relief, 2 good relief, 2 fair relief, 1 poor relief) /8 patients - 1.5 years: (3 excellent relief, 2 good relief, 1 fair relief, 1 poor relief) /7 patients - 2 years: (2 excellent relief, 2 good relief, 1 fair relief, 1 poor relief at 2 years) /6 patients - 3 years: (2 excellent relief, 2 good relief, 1 fair relief)/5 - 4 years: 2 excellent, 2 good/ 4 patients - 4.5 years: 2 excellent, 1 good / 3 patients - 6 years: 1 excellent 1 good / 2 patients - 7 years: 1 good / 1 patient
2. Foltz and White, 1968	- FU unclear: (5 excellent, 4 good, 2 fair, 0 poor, 0 worse) / 11 pts	- 1 year: (2 excellent, 5 good, 1 fair, 1 poor, 0 worse) / 9 pts
3. Faillace et al., 1971	- 3 days: (3 relief, 4 no relief)/ 7 patients	- >2 years: (1 relief, 1 no relief)/ 2 patients
4. Hurt and Ballantine, 1974	- <3 months: (3 complete relief, 9 marked relief, 6 moderate relief, 5 slight relief, 9 no relief) / 32 patients - >3 months: (0 complete, 1 marked, 1 moderate, 1 slight, 6 none) /9 patients	- <3 months: (2 complete, 6 marked, 8 moderate, 8 slight, 12 none)/36 patients - >3 months: (1 complete, 7 marked, 8 moderate, 8 slight, 12 none)/36 patients
5. Voris and Whisler, 1975	- 1–12 months: 5 relief /5 at 1–12 months	- 1–12 months: (8 relief. 3 no relief)/ 11 pts - 1 year: (2 relief. 9 no relief) /11 pts - 3 years: (1 relief. 10 no relief) / 11 pts
6. Pillay and Hassenbusch, 1992	- 1 year: 4 excellent, 1 good, 1 fair, 2 poor/ 8 pts	- 1 year: (1 good. 1 no relief) /2 pts
7. Cohen et al., 1999	NA	- 1 year: (8 relief. 4 no relief)/12 pts
8. Wilkinson et al., 1999	NA	- 1 year: (9 excellent, 10 useful, 4 no relief) / 23 pts - 2 years: (9 excellent, 6 useful, 5 no relief) / 20 pts - 4 years: (8 excellent, 6 useful, 5 no relief) / 19 pts - 5 years: (6 excellent, 6 useful, 4 no relief) / 16 pts - 6 years: (5 excellent, 6 useful, 3 no relief) / 14 pts - 8 years: (4 excellent, 5 useful, 2 no relief) / 11 pts - 9 years: (2 excellent, 5 useful, 2 no relief) / 9 pts - 10 years: (1 excellent, 4 useful, 3 no relief) / 8 pts - 11 years: (1 excellent, 3 useful, 2 no relief) / 6 pts - 12 years: (1 excellent, 2 useful, 2 no relief) / 5 pts - 14 years: (1 excellent, 1 useful, 2 no relief) / 4 pts - 15 years: (1 excellent, 1 useful, 1 no relief) / 3 pts - 16 years: 1 excellent relief/ 1 pt
9. Yen et al., 2005	- 1 week: (8 sig relief, 4 meaningful relief, 3 no relief) /15 pts - 1 month: 5 sig relief, 4 meaningful relief, 5 no relief) /15 pts - 3 months: (4 sig relief, 3 meaningful relief, 5 no relief) /12 pts - 6 months: (2 sig relief, 3 meaningful relief, 5 no relief) /10 pts	- 1 week: 6 sig relief, 1 meaningful relief, 0 no relief) / 7 pts - 1 month: (5 sig relief, 0 meaningful relief, 2 no relief) / 7 pts - 3 months: (4 sig relief, 1 meaningful relief, 2 no relief) / 7 pts - 6 months: (4 sig relief, 1 meaningful relief, 2 no relief) / 7 pts - 1 year: (4 sig relief, 1 meaningful relief, 2 no relief) / 7 pts
10. Yen et al., 2009	- 1 week (4 good relief, 2 fair relief, 4 no improvement) /10 - 1 month (2 good relief, 3 fair relief, 5 no improvement) / 10 - 3 months (4 good relief, 2 fair relief, 4 no improvement) / 10	NA
11. Patel et al., 2015	- 2 weeks: 3 relief/ 3 pts - 6 weeks: 1 relief/ 2 pts - 4 months: 1 relief/ 2 pts	NA

Pts = patients



Table 4. Percentage of patients with significant pain relief after operation.

FU after Operation	All patients	Patients with pain due to neoplastic source	Patients with pain due to non-neoplastic source
Post-operatively	149/224 (67%)	66/98 (67%)	83/127 (65%)
3 months	87/156 (56%)	23/44 (52%)	64/112 (57%)
6 months	59/94 (63%)	12/20 (60%)	47/74 (64%)
1 year	53/82 (65%)	6/9 (67%)	47/73 (64%)

Table 5. Patient outcomes following reoperation.

	Individual Patient data	FU after Reoperation	Reoperation technique
Foltz and White, 1962	1 patient with poor pain relief after initial surgery for 3 months, followed by good pain relief after reoperation for 4 weeks	4 weeks	Not reported
Foltz and White, 1968	3 patients with poor pain relief after initial surgery, followed by good or excellent pain relief after reoperation	Not reported	Placed more caudally in cingulum bundle to enlarge lesions
Hurt and Ballantine, 1974	7 reoperations in 6 patients. Details not reported	-----	-----
Wilkinson et al., 1999	a) 1 patient with 4 months of no relief followed by excellent pain relief b) 2 patients with some but inadequate pain relief, experiencing no change following reoperation (post-reoperation followup >1 year) c) 2 patients with excellent pain relief for whom pain recurred. Following reoperation, one patient had excellent pain relief for 4 years. Other patient had inadequate followup post reoperation	a) 15 years b) > 1 year c) 4 years. Inadequate	Same operative technique as initial surgery. New lesions were placed to enlarge prior lesions that appeared to be small (<15 mm diameter) or adjacent to prior lesions that did not seem optimally placed
Patel et al., 2015	1 patient who experienced recurrence after 6 weeks of significant pain relief had significant pain relief for 4 weeks (until dying from primary disease).	4 weeks	20 mm anterior to initial lesion. 3 lesions bilaterally (vs initial 2 lesions bilaterally), resulting in mean lesion size 2.73 cm <sup>3</sup> (vs initial 1.44 cm <sup>3</sup> mean lesion size)

FU = follow-up

(Table 5). Eight of these 10 reoperations (80%) provided significant pain relief or improvement of symptoms post-operatively. Of these 10 reoperations, 6 reoperations had at least 4 weeks follow-up, of which 4 (67%) were successful at the latest follow-up (2 of which included follow-up of more than 10 years). All 3 patients who had recurrence of pain after initial positive results had significant pain relief following reoperation.

### Effect of Lesion Location on Pain Relief

Given the variance in the location of lesions within the anterior cingulate region across studies (Table 2), further analysis was done to evaluate if there was any effect of lesion location on pain relief. One variable that was reported across the majority of the articles (n = 10) was the distance in the Y dimension posterior

to the tip of the frontal horns (range 17.5 to 37.5 millimeters) (Table 2). Pooled linear regression analysis of post-operative outcome as a function of this distance was significant for  $R = -0.70$  ( $P < 0.03$ ), with regression coefficient of 2.1% mean decrement in positive outcomes (percent of patients significantly improved per study) per millimeter of distance posterior to the frontal horn tip.

### Adverse Events (Organic)

No articles showed any mortality attributed to or associated with the surgery. Adverse events were characterized as the number of events per operation, where data were given (Table 6). Common transient adverse effects included urinary incontinence and confusion/disorientation, which subsided within days

Table 6. Reported adverse events by article.

Authors & Year	No. of procedures	Transient Adverse Events				Serious/Permanent Adverse Events		
		Event	Time to resolution	No. of events	%	Event	No. of events	%
Foltz and White, 1962	17	Mild elevation in temperature (100–101F) Mild confusion Change in affect (no flattening or lethargy, however)	24 hours 1–2 days NA	“often” NA NA	NA NA NA	None	NA	NA
Foltz and White, 1968	37	Mild hemiparesis, transient with full recovery Urinary incontinence, gradually controlled Hypotension (70/50) for 2 days Disorientation to time for 3–5 days	NA NA 2 days 3–5 days	1 4 1 6	2.7 10.8 2.7 16.2	Hemiparesis worsened to hemiplegia and aphagia Ventriculomegaly at stage II operation Tonic clonic seizure lasting 2 days Suicide*	1 1 1 2	2.7 2.7 2.7 5.4
Faillace et al., 1971	9	Decrement in tapping test** Decrement in porteus maze testing	NA 2 months	4/4** 2	100 22.2	None	NA	NA
Hurt and Ballantine, 1974	75	Headache and fever commonly seen transiently in first week after operation transient bladder or bowel incontinence transient confusion	~1 week NA NA	“common” “less common” “less common”	NA NA	Guillain Barre Syndrome	1	1.3
Voris and Whisler, 1975	16	None	NA	NA	NA	Hemiparesis*** Prolonged stupor*** Intracranial hemorrhage ***	1 2 1	6.3 12.5 6.3
Pillay and Hassenbusch, 1992	10	None	NA	NA	NA	None	NA	NA
Wilkinson et al., 1999	28	Flat affect and lack of spontaneity transient aphasia cleared in 48 hours. Urinary incontinence Repetitive hand washing	NA 2 days NA Several days	1 2 3 1	3.6 7.1 10.7 3.6	Intraoperative seizures Postoperative seizures Delayed seizures (4 of which controlled with anticonvulsants)	2 2 5	7.1 7.1 17.9
Cohen et al., 1999	8 (reported)	Mutism Akinesia/Bradykinesia/ Psychomotor Slowing Blunting of affect Lethargy	Days Days Days Days	3 8 6 2	37.5 100 75 25	Changes in emotional behavior, personality, or cognitive ability reported by families 1 year postoperatively	6	75
Yen et al., 2005	22	Transient confusion Upper GI bleed subsided w med tx Some attentional impairment	Few days NA NA	2 2 5	9.1 9.1 22.7	None	NA	NA
Yen et al., 2009	10	Inappropriate uninhibited speech Impairment of focused attention: time to complete Stroop interference test	2 NA	2 NA	20 NA	None	NA	NA
Patel et al., 2015	4	None	NA	NA	NA	None	NA	NA

\*Patients were reported to have preoperative suicide tendency.

\*\* Indicates that future learning may be more difficult for repetitive motor tasks, such as knitting or shifting car gears. Only 4 patients were tested for this.

\*\*\*Included in of pool results were patients who had combined surgeries with other ablative procedure.

postoperatively. Serious or permanent adverse effects included seizure in < 5%, hemiparesis in < 1%, and personality change in < 1% of operations, reported across all studies, all of which primarily occurred in operations where magnetic resonance (MR)-guidance was not used.

### **Adverse Effects (Neuropsychological)**

Three reports assessed neuropsychological deficits (18,21,22). In their series of 9 patients, Faillace et al (18) performed subtle neuropsychological tests to assess frontal lobe dysfunction (e.g., Porteus Maze; tapping test) on 4 patients, and showed decrements in the tapping test (a non-verbal ordering test) postoperatively, suggesting difficulties with executive function and attention. The authors surmised that the deficit however is of uncertain clinical significance, but might make learning repetitive motor tasks such as knitting or manual shifting of a car more difficult. The authors did not report a time at which deficits may resolve. Difficulties with executive function and attention were further delved into by Cohen and colleagues (21), who also explored the time course of recovery after surgery. With respect to executive function, the authors assessed adaptive rate continuous performance testing and stroop performance, finding that executive function declines at 3 months from baseline but either recovered or nearly recovered at one year. On other tests, however, the authors found that spontaneous word production, object construction, and design fluency testing were impaired at 3 and 12 months, suggesting chronic impairments in intention and spontaneous response production. These results were in line with patients' families' reports of personality changes in patients, particularly continued behavioral passivity. The authors found no difference in language, visual, motor, or memory functions before and after anterior cingulotomy. Yen and colleagues in 2009 (22) performed similar testing as that of Cohen et al, finding deficits in stroop interference testing, confirming the attentional deficits after cingulotomy.

## **Discussion**

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### **Summary of Evidence**

Anterior cingulotomy is effective in the treatment of chronic, medically refractory pain. First introduced by Le Beau in 1954 via open cingulectomy (36) and later adapted by Foltz and White in 1962 (9), anterior cingulotomy has been successfully performed in the

treatment of chronic pain for decades. In recent years however, the use of anterior cingulotomy has declined in frequency due to a general move away from neuroablative procedures and towards nondestructive procedures such as neuromodulatory therapies and intrathecal opiate pumps (4-6). While these newer therapies are reversible, some patients remain refractory (4,31) and they are associated with significant cost, perhaps not appropriate for certain populations of patients such as metastatic cancer patients whose life expectancy due to primary disease is already short.

The results of this comprehensive review suggest that ablative lesions of the anterior cingulate are effective in the treatment of chronic pain, both neoplastic and non-neoplastic sources. Adverse events related to anterior cingulotomy were few, commonly including transient post-operative confusion, urinary incontinence, headaches, or fever, all of which subsided in days post-operatively. Serious adverse events included hemiparesis, hemorrhage, and seizures, and by in large were seen in studies reporting cingulotomy with means other than magnetic resonance imaging (MRI) for intraoperative guidance. Neuropsychological adverse effects included deficits in executive function, attention, and spontaneous response production, all of which are commonly observed among patients with frontal lobe damage, thus suggesting that the fibers of the ACC are a part of the larger frontal-subcortical brain system (21).

In this review, a great proportion of cancer patients died prior to one-year follow-up due to the underlying primary disease, suggesting that palliative pain control in the long term may not be a critical consideration for such population of patients. Nevertheless, the current analysis suggests that long-term pain control is possible in both subsets of patients. A significant correlation was found between pain relief outcome and position of the lesion, with better outcomes found as the lesion target approached the tip of the frontal horns. This finding is consistent with a study in 8 patients at a single institution by Steele et al (37), who showed better outcomes in patients targeted more rostrally within the ACC when anterior cingulotomy was used to treat major depressive disorder. This correlation furthermore stands to reason given both human and animal evidence suggesting that the ACC exhibits functional segregation into a rostral emotional region, a posterior motor region, and an intermediate cognition region (38-40). Given the lack of systematic and uniform reporting of lesion location across all included studies however, further prospective studies using a single surgeon at a

single institution are needed and can be corroborated further by tractography studies; however, the current results provide the first comprehensive analysis to date to provide any insight with respect to this issue as it relates to pain.

The reviewed evidence of reoperations supports that there may be benefit for repeat anterior cingulotomy in cases where initial pain relief is not adequate. The higher success rate of reoperation when compared to initial surgery suggests that cases where initial pain relief is inadequate may likely be due to inadequate initial lesioning or imprecise location of lesioning. The current evidence also suggests that reoperation is a viable option in patients who have resurgence of pain months after initial pain relief from the operation. However, given the small number of patients who had repeat cingulotomy after pain recurrence, a larger study involving repeat anterior cingulotomy for patients with pain recurrence would provide more insight with respect to this issue.

### Study Limitations

There is significant heterogeneity in surgical technique evident amongst all reviewed studies. Method of ablation (electrocautery, radiofrequency ablation, laser ablation), number of lesions created, lesion location, and method of intraoperative guidance (ventriculography, computed tomography (CT)-guidance, MRI-guidance) must be taken into account when generalizing to current neurosurgical practice. Hassenbusch et al (41), in 1990, first used MRI guidance for anterior cingulotomy, after time of which stereotactic anterior cingulotomy guided by MRI was readily performed. The current analysis (Table 2) suggests a trend towards outcomes improvement in operations using MRI guidance as opposed to other means of guidance. Thus, in the current era of MRI-guided stereotactic surgeries, outcomes after cingulotomy may be slightly greater than that suggested by the current review.

The method for quantifying and reporting pain relief was also variable across studies. Rather than clinical assessment or review of patient charts, some articles quantified pain using the visual analog scale, McGill pain questionnaire, or pain inventory scale. Some of these techniques, e.g., the visual analog scale, have been criticized as being crude and subjective (22). We believe however that the difficulty in generalizing across articles with difference in reporting technique is minimized by the standardization of what comprised in our review as "significant" pain relief.

This analysis included articles with different post-operative follow-up times. In the overall analysis of post-operative outcomes through time, care was taken to report at follow-up times that were reported by a plurality of included studies. If otherwise not included in the article, the next available time point was used to interpolate degree of pain relief at our reported time points (e.g., for articles not reporting outcomes at 3 months post surgery but reporting at 5 months, data from 5 month was interpolated to report outcomes at 3 months). Importantly, in no event was an earlier time point used to extrapolate to a later time point – leaving all outcome calculations conservative. Percentages of patients with relief appeared to increase from 3 months to one year. However, this is in part due to patients, particularly cancer patients, with none-poor relief often dying before counterparts with greater degrees of pain relief (1). Moreover, studies with poorer outcomes relative to other studies often had inadequate follow-up times (18) or inadequate temporal resolution of post-operative follow-up (19) necessary to be included in the analysis at later time points. This may falsely elevate pain relief at later post-operative times. Thus, patients who were lost to follow-up were additionally assumed to be failures, providing a further conservative measure of proportions of patients with significant pain relief.

Finally, all studies were solely observational studies without controls. Hunt and Ballantine in 1974 (19) suggested that the number of patients who experienced significant pain relief following this surgery is great enough to suggest that the benefit after the operation is more than that which would be expected by placebo effect alone. Furthermore, as Bourne et al previously pointed out in 2013 (42), the ethical considerations surrounding randomization of patients with such degree of illness to sham surgery likely renders this analysis as the best available class of data on this topic. However, we acknowledge that placebo can be a significant factor in assessing outcomes of pain interventions (43-46) and should be considered in future studies.

### CONCLUSIONS

Despite decreased utilization in recent years, anterior cingulotomy is an effective neurosurgical intervention in the treatment of pain, particularly under MRI guidance, and carries little risk of permanent or serious adverse effects. Despite the reported success rates in the literature, most of these studies were performed in an era prior to neuromodulatory therapies (e.g., spinal cord stimulation and intrathecal pain management).

It is therefore unclear how effective anterior cingulotomy may be in patients who have failed these other therapies. Given the overall success rate in patients who had otherwise failed other pain management op-

tions, studies are now needed to re-evaluate the role of anterior cingulotomy in patients with medically and neuromodulatory-refractory pain.

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