Evolving Concepts in the Understanding of Cervical Facet Joint Pain

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Surgeons loathe the concept of masking a painful, acute abdomen with analgesics: serial abdominal exams are keys to surgical diagnosis and planning (1). Pain physicians have a softer spot. Sedatives and analgesics *are* given to patients undergoing interventional pain procedures, because these patients are *in chronic pain* and demand no less than *to be free of chronic pain* (2). However, could intravenous sedation, anxiolysis, and analgesia confound the interpretation of a 'diagnostic' block?

Manchikanti and colleagues (3) present an important paper that formally evaluates this question, with respect to cervical medial branch blocks. If pain relief of >50% is used as a criterion, then intravenous fentanyl, midazolam, and saline (placebo) could lead to false positives. Use of stricter pain relief criteria, >80% reduction in pain and the ability to perform previously painful neck movements with ease, can overcome these confounding factors. We applaud Manchikanti et al's recommendations that intravenous sedation need not be abandoned, but should be used judiciously. In the absence of sedation, patients may move or become combative. These are the realities of clinical practice, but unfortunately procedure validity may be compromised. The prudent use of short acting sedatives and analgesics, along with careful monitoring, seems appropriate.

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tion of this manuscript. Conflict of Interest: None Although the central objective of Manchikanti et al's paper was to evaluate how intravenous fentanyl, midazolam, or even, placebo can confound the interpretation of a 'diagnostic' medial branch block, this paper creates a curious byproduct and uncovers a shocking problem.

A CURIOUS BYPRODUCT

One curious byproduct of this study is that 40% of the patients, in the saline control group, achieved a 'relaxed' state. Pre-procedure opioid or benzodiazepine use must have been homogeneous among all three groups, since the study's inclusion criteria and randomization were not based on these factors. Nonetheless, patients in Group II and Group III required an average of 3.5 ± 1.2 milligrams of midazolam and 160 ± 50 micrograms of fentanyl to achieve a 'relaxed' state, without any complications. This implies that patients in all three groups were opioid or benzodiazepine tolerant. So, how could placebo have such an impact on 40% of the patients in the intravenous saline group (Group I)? Is placebo really that powerful? Could placebo be incorporated into clinical practice, as a 'sedative and analgesic', to improve patient safety and turnover or is placebo ethically undesirable?

Functional magnetic resonance imaging studies demonstrate that placebo alters the experience of pain and expectations about pain relief (4). Analgesia induced by placebo is related to decreased activity in pain-sensitive brain regions, such as the thalamus, insula, and anterior cingulate cortex (ACC) (4). Activity increases in the prefrontal cortex when pain is anticipated (4). The rostral anterior cingulate cortex (rACC) and anterior insula are implicated in the affective, but not the sensory aspects of pain (5). Activity in the posterior insula/secondary somatosensory cortex, the sensorimotor cortex (SI/ MI), and the caudal ACC receive the sensory aspects of pain (5). Placebo and opioid analgesia share similar neural mechanisms, specifically at the rACC and brainstem based on PET scanning (6).

Despite the neurobiological complexity, placebo analgesia is similar to opioid analgesia. Placebo can be easily induced, simply by expecting that a procedure will alleviate pain. Perhaps, placebo is not ethically undesirable and could be used as a tool in the procedure suite.

A SHOCKING PROBLEM UNEARTHED

What if there is no such thing as a 'diagnostic' medial branch block or for that matter, a 'diagnostic' nerve block?

The medial branches of the segmental, cervical spinal nerves have gained popularity over the past twenty years. Much has been written about their intimate anatomic relationship to the cervical zygapophyseal joints (7, 8), their physiological relevance as a neural relay in patients with chronic neck pain (7, 9, 10), and their role in estimating the prevalence of chronic pain mediated by the cervical z-joints (7, 11).

As our understanding of cervical zjoint pain has increased, the relevance of patient history, physical exam, and imaging studies has diminished (7, 12, 13). Evidence suggests that chronic zygapophyseal joint pain need only be diagnosed by cervical medial branch blocks (7, 12-17), but false positives should be reduced. Uncontrolled blocks lead to a high false positive rate, so placebo controlled blocks are advised (7, 11-17). However, as discussed earlier, placebo is still considered to be unethical (15,16). Comparative local anesthetic blocks have been advocated instead, after studies have proven them to be effective surrogates for placebo controlled blocks (15, 16).

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'If pain is relieved [by blocking the medial branch or the z-joint itself], the joint may be considered prima facie to be the source of pain' (12). This seeming-ly logical statement must be completely true in order for the moniker '*diagnostic*' to survive as an adjective to *medial branch block* or, for that matter, *nerve block*. Advances in our scientific understanding of pain and clinical paradoxes challenge the veracity of the term *diagnostic medial block*.

1. Does the average analgesic response of a placebo group reliably predict the analgesic response in a specific individual? Is the placebo response in an individual completely reproducible if the placebo intervention is repeated?

This question is important when we wish to counsel patients about the results of their 'diagnostic' medial branch block. The Taxonomy Committee of the International Association for the Study of Pain defined pain as "an unpleasant sensory and emotional experience associated with actual or potential tissue damage" (18). The corollary is that pain relief would have to be defined in these terms. Simply, pain and pain relief are experiences that are unique to each individual and cannot always be predicted.

Interventional pain studies typically use demographic factors, such as age, gender, chronicity of pain, and prior interventions, to establish that the placebo and intervention group are similar. These data are snapshots of the patient's present and recent past: they do not represent all the factors that influence an individual's pain perception. Furthermore, demographic data are not mentioned in the IASP definition of pain.

Ideally, the placebo and intervention group should be similar in terms of all factors that may impact pain, such as familial upbringing, schooling, religion, psychological experiences, injuries, genetic make-up, and cultural heritage. Of course, the use of such factors to ensure homogeneity between both groups would be implausible. To do anything less, however, calls into question the relevance or the need for placebo-controlled studies in interventional pain.

The answer to the second question can be found in Manchikanti et al's paper. All study patients were diagnosed, in the past, to have cervical facet joint pain. This was confirmed with comparative local anesthetic, medial branch blocks. Comparative local anesthetic blocks, supposedly, have good construct validity and can reduce the rate of false positives (14-16). In the current study, the subjects did not receive medial branch blocks. They only received intravenous agents for the study. However, all the patients had neck pain of at least 1 year duration, with confirmed evidence of facet joint pain by controlled comparative local anesthetic blocks and were responding to therapeutic medial branch blocks. They were presenting for repeat treatment after a significant period of symptom relief. Thus, there was proven evidence of facet joint pain. Consequently, relief of this pain was tested with multiple agents.

Price et al (19) studied diagnostic, sympathetic blocks in patients with complex regional pain syndrome. This paper raised several concerns about block interpretation with respect to placebo. The placebo response can be influenced by preprocedure anxiety, the desire for pain relief, expectations about the block, and the perceived invasiveness and elaborateness of the procedure (19). Pre-procedure anxiety could enhance sympathetic efferent outflow, which can augment pre-procedure pain (19). These factors were not addressed when the construct validity of comparative local anesthetic blocks was investigated (16).

Overall, the complexity of the placebo response creates doubts about the term 'diagnostic' medial branch block.

2. If a 'diagnostic' medial branch block successfully reduces pain and efforts are made to reduce false positives, can we accept at face validity that the facet joint is the source of (nociceptive) pain or could peripheral and central (neuropathic) pain mechanisms be involved?

Zygapophyseal joints are synovially-lined, diarthroidal, load bearing joints with hyaline cartilage (20). They can be subject to trauma and degenerative arthropathy.

Let us start with a simple analogy and consider another synovially-lined, diarthroidal joint that can be injured: the knee. Is it possible that an orthopedic surgeon would operate on a patient that has chronic knee pain and not perform a clinical evaluation nor study the patient's imaging. This is doubtful (21-24). Is it possible that an orthopedic surgeon would rely on a combined sciatic and femoral nerve block, which can anesthetize the entire knee joint (25), to decide whether or not to operate? This is doubtful.

Biomechanical studies demonstrate that injury to cervical z-joints leads not only to nociceptive pain, but can lead to neuropathic pain, by injuring the joint and proximate neural structures (26). It is conceivable that the medial branches could be injured because of cervical trauma or degenerative processes. In this case, pain relief following a medial branch block would be prima facie evidence that the traumatized nerve, itself, is the pain generator and not the joint.

Neuropathic pain is both spontaneous (stimulus independent) and evoked (stimulus dependent), which lends credence to the concept that the history and physical are irrelevant, i.e., provocative activities are not needed to diagnose z-joint pain. However, if the pain emanated only from the z-joint (nociceptive), one would expect the history and physical to be relevant: increased pain with provocative or load bearing maneuvers compared to pain at rest. In fact, biomechanical studies suggest that neck extension should provoke neck pain following whiplash (27).

Since there is no evidence that cervical zygapophyseal joint pain can be diagnosed by clinical examination or by medical imaging (17), cervical z-joint pain is arguably neuropathic rather than nociceptive.

Peripheral Sensitization

Injury to peripheral tissues can lead to sensory disturbances characterized by prolonged pain, increased sensitivity to painful stimuli (hyperalgesia), and pain following innocuous stimuli (allodynia). The peripheral afferent neuronal discharge from tissue injury can lead to central nervous system hyperexcitability and lead to worse pain following tissue injury (28).

Gordon et al (28) in a parallel group, double blind, placebo controlled study compared the ability of injections of intra-oral saline versus a long acting local anesthetic, (bupivacaine 0.5%) to reduce post-operative pain. Selected patients underwent oral surgery with general anesthesia. After awakening and after the anesthetic had worn off, the patients were enrolled in this study. Curiously, there was no difference in pain relief between the placebo and bupivacaine groups at 24 hours. There was a significant reduction in pain at 48 hours, long after the local anesthetic had worn off. Hence, even if injections are administered subsequent to tissue injury (not preemptive analgesia), pain relief can be significant, but delayed (28). The authors conclude that blockade of this peripheral nociceptive input after tissue injury can reduce post-injury pain long after the local anesthetic has worn off. This suggests that peripheral blocks reduce central hyperexcitability and consequently, pain (28). This study contradicts the premise that a long acting local anesthetic block of the medial branch should provide immediate relief that can be sustained for 24 hours (15-16).

Central Sensitization

Central sensitization does exist in patients with chronic pain following whiplash injury and may be maintained by peripheral stimulation (29, 30). This central hypersensitization was not maintained by peripheral input from painful and tender muscles (30). The central sensitization can be maintained by low intensity nociceptive and innocuous peripheral stimulation (29). Chronic neck pain, following whiplash without detectable tissue damage, may be due to spinal hypersensitivity. This further supports the hypothesis that central sensitization may be potentiated by input from the cervical medial branches. Hyperalgesia occurs if these medial branches are mildly provoked and allodynia occurs when they process usually painless stimuli.

Synovially lined joints have Ruffini nerve endings with central projections to the thalamus and sensorimotor cortex, suggesting that these joints are responsible for proprioception, i.e., joint position sense (31). These central projections occur via small myelinated fibers (31). Paqueron et al (31) noted that the abolition of proprioception corresponded to the onset of phantom limb sensations, during peripheral nerve blocks; phantom-limb sensations coincided with block of C and A-delta fibers.

This suggests that C and A-delta fibers are not only responsible for transmitting pain, but proprioception, limb size, and limb shape perception as well (32). Perhaps this is why joint position sense could be perceived as painful, following neck injury.

Swelling illusion has recently been demonstrated to be an early indicator of the success of a peripheral nerve block (32). Since swelling illusion depends on impairment of small sensory fibers (32) as does pain relief, perhaps this methodology could be used to validate comparative local anesthetic blocks: do medial branch blocks lead to perceptual alterations in the size of the neck, in addition to relieving pain? This type of external validation would be useful, since there are no other physiological means to test for block adequacy, including superficial examination (33).

CENTRAL AND PERIPHERAL PROCESSES

Manchikanti et al's paper lends support to the hypothesis that both central and peripheral sensitization occurs in cervical facet pain.

Central sensitization may occur by inducing Long Term Potentiation (LTP) at the synapses between C-fiber afferents and superficial spinal dorsal horn neurons (34). In rats, low dose fentanyl infusions (12-48 mcg/kg/hour) block LTP, but not higher anti-nociceptive fentanyl doses (96-12 mcg/k/hour) (34). Manchikanti et al's fentanyl group required 110-210 mcg. Let us assume that the average patient is 70 kg and that procedure completion times range between 10 to 60 minutes. Then, the fentanyl delivery rate would vary between 1.57 mcg/kg/hr (110 mcg/ 60 minutes/ 70 kg x 60 minutes/1 hour) to18 mcg/kg/hour (210 mcg/ 10 minutes/ 70 kg x 60 minutes/1 hour). These values are low dose and could block central sensitization. In fact, the fentanyl group had the greatest proportion of pain relief, when compared to placebo. A clinical study confirmed the effects of fentanyl on central sensitization. Epidural fentanyl increased temporal summation threshold and could conceivably prevent and treat central hypersensitivity (35). The peripheral action of opioids may also account for the increased analgesic effect in the fentanyl group. Mu opioid agonists have been demonstrated to have a peripheral effect (36).

Collectively, these neurobiological pain processes question the ideology that pain relief following a cervical medial branch block is proof that the z-joint is the de facto pain generator.

3. Can placebo-controlled medial branch blocks or their surrogate, comparative local anesthetic blocks, be confounded by pharmacological or technical factors?

In their study validating compara-

tive local anesthetic blocks of the cervical medial branches, Barnsley et al (16) used the premise that bupivacaine is longer acting than lignocaine (lidocaine). During peripheral nerve blocks, bupivacaine and ropivacaine have significantly longer durations of action, when compared to lidocaine and mepivacaine (37,38). In fact, ropivacaine may provide post-operative analgesia for 12-14 hours after a combined sciatic-femoral nerve block, whereas mepivacaine may provide blockade for 5-7 hours (38). However, simply correlating local anesthetic duration of action with duration of pain relief seems overly simplistic. In fact, in Barnsley et al's study (16), almost 40% of patients had responses to cervical medial branch blocks that did not meet the expected duration of either one or both local anesthetics (lidocaine, bupivacaine).

Price et al (19) compared the painrelieving effects of local anesthetics (lidocaine/bupivacaine) against saline during sympathetic ganglion blocking procedures. Both reduced peak pain intensities by 70% at 30 minutes post-procedure: no statistically significant difference was noted. In striking contrast, the mean duration of pain relief was longer with local anesthetic (90 hours), when compared to saline (20 hours). This duration of action is unusually long for local anesthetics.

If pain persists after a neural pathway is injected with local anesthetic, then that pathway may still be involved, because neural blockade is not an all-or-none response (33). Hogan and Abram (33) provide several examples. Somatosensory potentials can still be evoked in an anesthetized region, even if there is complete insensitivity to touch and pain (33, 39). Sympathetic efferent output might not be completely blocked in an area of complete somatic blockade (33, 40).

Local anesthetics have a differential effect, depending on neural fiber type, fiber size, and drug diffusion (33). Small size C fibers, that transmit pain are typically blocked first by lidocaine and bupivacaine (41) and their blockade lasts longer than those of other sensory, (A-beta) and motor, (A-alpha) fibers (41). Other studies have demonstrated that the intrinsic sensitivity of fiber types to local anesthetics is A>B>C (33). Different nerve groups have a significant overlap in the necessary concentration for nerve blockade and this may impact the ability to dis-

cern a differential nerve block based on fiber size (42). This is relevant to cervical medial branch blocks because several fiber types may be responsible for transmitting pain and these fibers may not necessarily be blocked as expected.

The duration of action depends on some physical properties of the local anesthetic, such as protein binding and metabolism (44). These factors probably remain the same if only a short period of time elapses between blocks. Similarly, systemic absorption following low volume blocks is probably negligible: there are probably no analgesic effects from systemic absorption of local anesthetic following cervical medial branch blocks.

Three consecutive nodes of Ranvier must be blocked to prevent conduction (43). The small volumes used for comparative local anesthetic blocks were chosen to ensure no spread to the exiting spinal nerve (16), but can those authors be certain that 3 nodes of Ranvier were being consistently blocked? Nerve length influences anesthetic potency (33). Injection rate and the microanatomic properties of nerve fibers may affect intraneural spread of local anesthetic (45,46). Inadvertent intraneural injections can cause significant centripetal spread (45), which could theoretically lead to unrecognized segmental spinal nerve blockade. Lidocaine has to be within 1mm and 0.5 mm of a rabbit sciatic nerve to effectively block C and A delta fibers, respectively (46). When injections are performed in such close proximity, even 0.1 ml can spread more than 2 centimeters (47). This type of spread, during a cervical medial branch block, would imply that the spinal nerve could be blocked.

The appropriate concentration and dose required to block cervical medial branches are not known from a physiological standpoint. In the rabbit sciatic nerve, the concentration is more important than volume or dose for successful block. Smaller volumes with higher local anesthetic concentration produce blocks of greater depth and duration compared to larger volume and lower concentrations, with the same dose (46). Hence, the precise amount and concentration of local anesthetic for cervical medial branch blocks has not been validated.

Vascular uptake may shorten block duration. The intraneural concentration of a local anesthetic following a peripheral nerve block is relevant: this value corresponds to the degree of functional blockade (48). Nonetheless, only a small fraction of the applied local anesthetic becomes intraneural (46). If small volumes or doses of local anesthetic are used, vascular uptake impacts the amount of drug reaching the intraneural compartment (46). Furthermore, local anesthetics exert complex effects on vascular tone and hence, the rate of vascular uptake.

If high concentrations of local anesthetic are used or high doses of local anesthetic become intraneural, irreversible conduction block may occur (49). This may partially account for why some patients in Barnsley et al's study (16) had unusually prolonged responses to medial branch blockade.

Even if all these factors are taken into account, several perplexing problems with local anesthetic blocks persist. Dorsal root ganglia abnormally and spontaneously fire off impulses in response to nerve injury (33,50). An injured medial branch could induce spontaneous DRG activity. Blocking the cervical medial branch in this setting, would not provide pain relief. Should we consider this to be a true negative? Another questionable assumption is that only afferent nociception needs to be blocked. When a nerve is injured, however, orthodromic and antidromic bursts are generated. In the case of a sensory nerve, antidromic impulses travel toward the tissue injury. Injured sensory nerves may peripherally release a soup of painful, chemical mediators. In this case, pain perception may be altered by a block distal to the injury. Peripheral blockade of the sciatic nerve has provided relief to patients with documented lumbosacral radiculopathy (33,51). Perhaps the most perplexing phenomenon with peripheral local anesthetic blocks is the ability to block a "distal" nonexistent joint (52). Imagine this statement, following a successful sciatic nerve block: "the abolition of phantom ankle pain in a patient with an above the knee amputation is 'prima facie' evidence that the nonexistent ankle is the pain generator" Although there is solid evidence that neck pain can be relieved by blockade of medial branch nerves, which innervate the facet joints, the precise mechanisms of pain relief remain unclear. Indeed, "facet" pain may arise from structures other than the facet joints per se. Alternate sources of pain include soft tissue structures, such as muscle and fascia, and the nerves themselves. Indeed, the well-known phenomenon of pain relief outlasting the expected duration of local anesthetic effect supports the argument that facet pain has a neuropathic component.

In summary, Manchikanti et al's paper is outstanding and resolves some controversies (the use of sedation), but raises others (is the adjective "diagnostic" appropriate for medial branch or other nerve blocks). As interventional pain physicians, we must pay heed that our procedures do not simply fit into an anatomic construct, but into a vastly more complex neurobiological construct. Overall, facet joint pain exists, but diagnosing facet joint pain with medial branch blocks faces many challenges.

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